VOLUME 2 – INDEX; JULY-NOVEMBER 1973

The legal status of any listing in this Index is not affected thereby.

ACCOUNTING AND AUDITING

Accounting Series Releases

No. 145, 8/2/73, Accounting for Catastrophe Reserves, no. 7, p. 217
No. 146, 8/24/73, Effect of Treasury Stock Transactions on Accounting for Business Combinations, no. 10, p. 313; request for comments, 33-5429, 34-10422, 35-18112, IC-8025, 10/5/73, no. 17, p. 548
No. 147, 10/5/73, Notice of Adoption of Amendments to Regulation S-X Requiring Improved Disclosure of Leases, no. 17, p. 545

Audit Requirements, broker-dealers, *see* 34-10297, 7/25/73, no. 6, p. 193; 34-10392, 9/14/73, no. 13, p. 432; 34-10398, 9/20/73, no. 14, p. 467

Regulation S-X

Proposed amendment of Rule 1-02, Definition of Terms Used, 33-5405, 34-10272, 35-18025, 7/9/73, no. 4, p. 117
Rule 5-02-1, Cash and cash items, *amended* to defer effective date for disclosure of compensating balances, 33-5418, 34-10370, 35-18079, IC-7973, 8/30/73, no. 11, p. 346
Proposed general revision of Article 7A, Life Insurance Companies, and related schedules in Article 12, 33-5420, 34-10381, 35-18089, IC-7988, 9/12/73, no. 13, p. 413
Revision of proposed amendments to Rules 3-16(o), Income tax expense, and 5-02-35, Deferred credits, 33-5421, 34-10382, 35-18090, IC-7989, 9/12/73, no. 13, p. 421
Proposed amendments providing for disclosure of significant accounting policies; Rule 3-08, Summary of Accounting Policies; Rule 3-16(m), Depreciation, depletion, obsolescence and amortization; Rule 3-16(r), Research and development expenses and deferrals, preoperating expenses and deferrals, and similar items where costs incurred do not result in current revenues [new rule]; Rule 3-16(s), Finding costs for mineral resources companies [new rule]; Rule 5-02-6, Inventories; and Rule 5-02-20, Deferred research and development expenses, preoperating expenses and similar deferrals of cost measured which do not result in current revenues; 33-5427, 34-10420, 35-18110, IC-8023, 10/4/73, no. 16, p. 525
Adoption of amendments to Rule 3-16, requiring increased disclosure of lease commitments by lessees, eff. with respect to financial statements filed subsequent to 11/30/73, 33-5428, 34-10421, 35-18111, AS-147, 10/5/73, no. 17, p. 545

ADMINISTRATIVE PROCEEDINGS

See list at end of index for persons and companies named in Findings, Opinions, Orders, Notices

Affiliated person, *see* 34-10391, 9/13/73, no. 13, p. 429

AMERICAN STOCK EXCHANGE

Federated Purchaser, Inc., application granted to strike from listing and registration, 34-10254, 6/29/73, no. 3, p. 71
Goodway, Inc., application granted to strike from listing and registration, 34-10280, 7/12/73, no. 4, p. 141
Ryder System, Inc., application granted to strike from listing and registration, 34-10393, 9/17/73, no. 14, p. 463
Sumitomo Chemical Company, Ltd., application to withdraw its 6-3/4% debentures due 1979 from listing and registration, 34-10409, 9/29/73, no. 15, p. 498

BOSTON STOCK EXCHANGE

Great Western United Corporation, order granting request for withdrawal of application for unlisted trading privileges, 34-10243, 6/27/73, no. 2, p. 36
Clorox Company, application for unlisted trading privileges, 34-10279, 7/12/73, no. 4, p. 141
Avis, Inc., Colonial Penn Group, Davis Food Services, Inc., Franklin Stores Corp., General Development Corp., Hospital Corp. of America, Jefferson-Pilot Corp., Louisiana-Pacific Corp., Masonite Corporation, Ponderosa System, Inc. (Del.), Helena Rubinstein, Inc., Russ Togs, Inc., Statham Instruments, Inc., Sternco Industries, Inc., Tesoro Petroleum, Tropicana Products, Inc. (Fla.), Uris Buildings Corp., application for unlisted trading privileges, 34-10326, 8/7/73, no. 8, p. 261; granted 34-10368, 8/29/73, no. 11, p. 349
Bunker Ramo Corporation and Esmark, Inc., application for unlisted trading privileges granted, 34-10339, 8/13/73, no. 9, p. 289
Bunker Ramo Corporation, application for unlisted trading priwileges granted, 34-10375, 9/5/73, no. 12, p. 399
ICN Pharmaceuticals, Inc., Merrill Lynch & Co., Inc. and Motorola, Inc., application for unlisted trading privileges, 34-10386, 9/13/73, no. 13, p. 426; granted, 34-10417, 10/3/73, no. 16, p. 531

VOLUME 2 – INDEX; JULY-NOVEMBER 1973

The legal status of any listing in this Index is not affected thereby.

ACCOUNTING AND AUDITING

Accounting Series Releases

No. 145, 8/2/73, Accounting for Catastrophe Reserves, no. 7, p. 217
No. 146, 8/24/73, Effect of Treasury Stock Transactions on Accounting for Business Combinations, no. 10, p. 313; request for comments, 33-5429, 34-10422, 35-18112, IC-8025, 10/5/73, no. 17, p. 548
No. 147, 10/5/73, Notice of Adoption of Amendments to Regulation S-X Requiring Improved Disclosure of Leases, no. 17, p. 545

Audit Requirements, broker-dealers, *see* 34-10297, 7/25/73, no. 6, p. 193; 34-10392, 9/14/73, no. 13, p. 432; 34-10398, 9/20/73, no. 14, p. 467

Regulation S-X

Proposed amendment of Rule 1-02, Definition of Terms Used, 33-5405, 34-10272, 35-18025, 7/9/73, no. 4, p. 117
Rule 5-02-1, Cash and cash items, *amended* to defer effective date for disclosure of compensating balances, 33-5418, 34-10370, 35-18079, IC-7973, 8/30/73, no. 11, p. 346
Proposed general revision of Article 7A, Life Insurance Companies, and related schedules in Article 12, 33-5420, 34-10381, 35-18089, IC-7988, 9/12/73, no. 13, p. 413
Revision of proposed amendments to Rules 3-16(o), Income tax expense, and 5-02-35, Deferred credits, 33-5421, 34-10382, 35-18090, IC-7989, 9/12/73, no. 13, p. 421
Proposed amendments providing for disclosure of significant accounting policies; Rule 3-08, Summary of Accounting Policies; Rule 3-16(m), Depreciation, depletion, obsolescence and amortization; Rule 3-16(r), Research and development expenses and deferrals, preoperating expenses and deferrals, and similar items where costs incurred do not result in current revenues [new rule]; Rule 3-16(s), Finding costs for mineral resources companies [new rule]; Rule 5-02-6, Inventories; and Rule 5-02-20, Deferred research and development expenses, preoperating expenses and similar deferrals of cost measured which do not result in current revenues; 33-5427, 34-10420, 35-18110, IC-8023, 10/4/73, no. 16, p. 525
Adoption of amendments to Rule 3-16, requiring increased disclosure of lease commitments by lessees, eff. with respect to financial statements filed subsequent to 11/30/73, 33-5428, 34-10421, 35-18111, AS-147, 10/5/73, no. 17, p. 545

ADMINISTRATIVE PROCEEDINGS

See list at end of index for persons and companies named in Findings, Opinions, Orders, Notices

Affiliated person, *see* 34-10391, 9/13/73, no. 13, p. 429

AMERICAN STOCK EXCHANGE

Federated Purchaser, Inc., application granted to strike from listing and registration, 34-10254, 6/29/73, no. 3, p. 71
Goodway, Inc., application granted to strike from listing and registration, 34-10280, 7/12/73, no. 4, p. 141
Ryder System, Inc., application granted to strike from listing and registration, 34-10393, 9/17/73, no. 14, p. 463
Sumitomo Chemical Company, Ltd., application to withdraw its 6-3/4% debentures due 1979 from listing and registration, 34-10409, 9/29/73, no. 15, p. 498

BOSTON STOCK EXCHANGE

Great Western United Corporation, order granting request for withdrawal of application for unlisted trading privileges, 34-10243, 6/27/73, no. 2, p. 36
Clorox Company, application for unlisted trading privileges, 34-10279, 7/12/73, no. 4, p. 141
Avis, Inc., Colonial Penn Group, Davis Food Services, Inc., Franklin Stores Corp., General Development Corp., Hospital Corp. of America, Jefferson-Pilot Corp., Louisiana-Pacific Corp., Masonite Corporation, Ponderosa System, Inc. (Del.), Helena Rubinstein, Inc., Russ Togs, Inc., Statham Instruments, Inc., Sternco Industries, Inc., Tesoro Petroleum, Tropicana Products, Inc. (Fla.), Uris Buildings Corp., application for unlisted trading privileges, 34-10326, 8/7/73, no. 8, p. 261; granted 34-10368, 8/29/73, no. 11, p. 349
Bunker Ramo Corporation and Esmark, Inc., application for unlisted trading privileges granted, 34-10339, 8/13/73, no. 9, p. 289
Bunker Ramo Corporation, application for unlisted trading priwileges granted, 34-10375, 9/5/73, no. 12, p. 399
ICN Pharmaceuticals, Inc., Merrill Lynch & Co., Inc. and Motorola, Inc., application for unlisted trading privileges, 34-10386, 9/13/73, no. 13, p. 426; granted, 34-10417, 10/3/73, no. 16, p. 531

The First Connecticut Small Business Investment Company, application to strike from listing and registration granted, 34-10393, 9/17/73, no. 14, p. 463
Chessie System, Inc., Dun & Bradstreet Companies, Inc., Kansas Gas and Electric Company, Southern Natural Resources, Inc., application for unlisted trading privileges, 34-10424, 10/5/73, no. 17, p. 565; granted 34-10462, 10/29/73, no. 20, p. 654

Business combinations, *see* AS-146, 8/24/73, no. 10, p. 313; 33-5430, 10/10/73, no. 17, p. 550

Campaign funds, *see* 34-10325, 35-18054, IC-7927, 8/7/73, no. 8, p. 259

Catastrophe reserves, *see* 33-5414, 34-10317, AS-145, 8/2/73, no. 7, p. 217

Central market system, *see* 34-10312, 8/1/73, no. 7, p. 223

CHICAGO BOARD OPTIONS EXCHANGE, INC.

Notice of supplemental information filed with respect to applications pursuant to par. (h) of Rule 17a-15 for exemption from provisions of Rule 17a-15, 34-10362, 8/23/73, no. 10, p. 320
Notice of application and opportunity for hearing, for an order exempting from the provisions of §§13(a), 13(d), 13(e), 14(d), 15(d) and 16 of the Act, 34-10394, 9/17/73, no. 14, p. 463
Request for comments on plan pursuant to Rule 9b-1, concerning exchange transactions in options, 34-10397, 9/21/73, no. 14, p. 465

CINCINNATI STOCK EXCHANGE

Aetna Life and Casualty, Co., Amerada Hess Corp., American Hospital Supply Corp., American Telephone & Telegraph Co., Baxter Laboratories, Becton, Dickinson & Co., Braniff Airways, Inc., The Chase Manhattan Corp., Chessie System, Inc., City Investing Co., Continental Telephone Corp., Control Data Corp., Esmark, Inc., First National City Corp., Georgia-Pacific Corp., Holiday Inns Inc., Howard Johnson Co., Hughes Tool Co., Imperial Corporation of America, Johns-Manville Corp., The Louisiana Land & Exploration Company, Merrill Lynch, Pierce, Fenner & Smith, Inc., Northwest Airlines, Inc., Philadelphia Electric Co., Polaroid Corp., Public Service Electric and Gas Co., Pennzoil Co., Seaboard Coast Line Industries, Inc., Shell Oil Co., Singer Co., Sony Corp., Southern California Edison Co., Southern Natural Resources, Inc., Teledyne, Inc., Telex Corporation (The), Texas Eastern Transmission Corp., Textron, Inc., Travelers Corp., UAL Inc., Union Oil Company of California, United States Gypsum Co., Virginia Electric and Power Co., Winnebago Industries, Inc., applications for unlisted trading privileges, 34-10409, 9/27/73, no. 15, p. 498; granted, 34-10462, 10/29/73, no. 20, p. 654

Commission rate schedule, *see* 34-10245, 6/28/73, no. 2, p. 38; 34-10337, 8/10/73, no. 8, p. 269; 34-10383, 9/11/73, no. 13, p. 425

Compensating balances, *see* 33-5418, 8/30/73, no. 11, p. 346

Condominiums, *see* LR-6018, 8/13/73, no. 9, p. 308; LR-6024, 8/16/73, no. 9, p. 311; LR-6081, 10/2/73, no. 16, p. 542

Consolidated tape plan, *see* 34-10274, 7/11/74, no. 4, p. 139

CORPORATE REORGANIZATION

Imperial '400' National, Inc., supplemental advisory report on proposed plans of reorganization, CR-313, 8/29/73, no. 11, p. 377

DETROIT STOCK EXCHANGE

Chamberlin Company of America, Inc., application to strike from listing and registration granted, 34-10353, 8/21/73, no. 10, p. 316
Santa Fe International Corporation, application to strike from listing and registration granted, 34-10393, 9/17/73, no. 14, p. 463
Southern Natural Resources, Inc., application for unlisted trading privileges, 34-10459, 10/25/73, no. 19, p. 632

DISQUALIFICATION FROM PRACTICE

Emanuel Fields, attorney, permanently denied privilege of practicing before Commission, 33-5404, 6/18/73, no. 1, p. 1
Paul Gerstner, attorney, suspended from appearing or practicing before Commission for a period of four years from the date of order, 34-10458, 10/26/73, no. 19, p. 631

243719

Environmental requirements, *see* 35-18061, 8/20/73, no. 10, p. 321; 35-18088, 9/12/73, no. 13, p. 438; 35-18098, 9/17/73, no. 14, p. 473; 35-18121, 10/12/73, no. 17, p. 579; 35-18128, 10/19/73, no. 18, p. 606; 35-18130, 10/19/73, no. 18, p. 609

Exempted securities, *see* 34-10289, 7/20/73, no. 5, p. 160

FOREIGN SECURITIES

Control locations, guidelines for, 34-10429, 10/12/73, no. 17, p. 567
Cumulative list of all entities now on Foreign Restricted List, 33-5409, 7/24/73, no. 6, p. 187
Atlantic and Pacific Bank & Trust Co. Ltd. placed on Foreign Restricted List, 33-5425, 9/27/73, no. 15, p. 493
Globus Anlage-Vermittlungsgesellschaft MBH placed on Foreign Restricted List, 33-5417, 8/28/73, no. 11, p. 345
International Communications Corporation, also referred to as "ICC Project," placed on Foreign Restricted List [Prestige Finance Corporation Limited of Nassau mailing solicitations accompanied by a prospectus to brokers and dealers in U.S.], 33-5415, 8/20/73, no. 10, p. 313
Pan Australian Fund Limited, order permitting registration as an investment company and to make a public offering of its securities in the United States, IC-8028, 10/10/73, no. 17, p. 585
St. John d'el Rey Mining Company, Limited, order permitting registration as an investment company and to make a public offering of its securities in the United States, IC-7885, 6/29/73, no. 3, p. 105

Hot Issues, *see* 34-10252, 6/28/73, no. 3, p. 70; 34-10450, 10/25/73, no. 19, p. 627

Hydroponic farming, *see* LR-6010, 8/2/73, no. 7, p. 254

Inside information, *see* 34-10233, 6/22/73, no. 1, p. 9; LR-5943, 6/25/73, no. 2, p. 61; 34-10316, 8/1/73, no. 7, p. 229; 34-10365, 8/27/73, no. 11, p. 346; LR-6056, 9/13/73, no. 13, p. 452; LR-6065, 9/20/73, no. 14, p. 486; LR-6124, 11/2/73, no. 20, p. 716

Insurance, *see* 33-5414, 34-10317, AS-145, 8/2/73, no. 7, p. 217; 33-5413, 8/2/73, no. 7, p. 218; 33-5420, 34-10381, 35-18089, IC-7988, 9/12/73, no. 13, p. 413; IC-8000, IA-391, 9/20/73, no. 14, p. 481; IC-8047, IA-393, 10/26/73, no. 19, p. 647

INTERMOUNTAIN STOCK EXCHANGE

Notice of supplemental information filed with respect to applications pursuant to par. (h) of Rule 17a-15 for exemption from provisions of Rule 17a-15, 34-10362, 8/23/73, no. 10, p. 320

INVESTMENT ADVISERS ACT OF 1940

See IA-384, 6/18/73, for list of cancelled registrations, no. 1, p. 21

Rules

Rule 202-1, Exclusion of issuers of variable life insurance policies and of interests or participations thereunder, proposed amendment, IA-391, 9/20/73, no. 14, p. 481; comment period extended to 11/19/73, IC-8047, IA-393, 10/26/73, no. 19, p. 647
Rule 203-3, Fees for registrants and applicants, amended eff. 9/1/73, IA-385, 6/28/73, no. 2, p. 60

INVESTMENT COMPANY ACT OF 1940

Rules

Rule 0-2, General requirements of papers and applications, amended by adding a new paragraph (g), Proposed notice; Rule 0-5, Procedure with respect to applications and other matters, amended by revising paragraph (b), IC-7926, 8/7/73, no. 8, p. 275
Rule 3c-4, Definition of "Insurance Company," proposed amendment, IC-8000, IA-391, 9/20/73, no. 14, p. 481; comment period extended to 11/19/73, IC-8047, IA-393, 10/26/73, no. 19, p. 647
Rule 17g-1, Bonding of officers and employees of registered management investment companies, revision of proposed amendment, IC-7980, 9/7/73, no. 12, p. 407

Japan, *see* IC-7887, 7/3/73, no. 3, p. 106; IC-7937, 8/9/73, no. 8, p. 284; IC-8027, 10/9/73, no. 17, p. 584; IC-8056, 10/31/73, no. 20, p. 693

LITIGATION

See list at end of index for persons and companies named

MIDWEST STOCK EXCHANGE

Chessie System, Inc., application for unlisted trading privileges, 34-10459, 10/25/73, no. 19, p. 632
The Christiana Companies, Inc., application to strike from listing and registration granted, 34-10393, 9/17/73, no. 14, p. 463
The Clorox Company, Hughes Tool Company, Kellogg Company, application for unlisted trading privileges, 34-10270, 7/6/73, no. 3, p. 98; granted 34-10295, 7/25/73, no. 6, p. 193

NATIONAL ASSOCIATION OF SECURITIES DEALERS, INC.

Alessandrini & Co., Inc. and Paul P. Alessandrini, disciplinary action modified, 34-10313, 8/1/73, no. 7, p. 225
Anthony J. Amato, John G. Bills, Raymond Kong, I. James Kozen, and William J. O'Connor, applications for review of disciplinary action dismissed as to Bills and O'Connor, penalties reduced as to Amato, Kong and Kozen, 34-10265, 6/29/73, no. 3, p. 90
L. C. Fisher Company, Inc. and L. C. Fisher, application for review of disciplinary action, proceedings dismissed, 34-10259, 6/29/73, no. 3, p. 81
Friedman & Co. and Albert Friedman, disciplinary action modified, 34-10472, 10/31/73, no. 20, p. 664
A. Bennett Johnson, penalties imposed by NASD modified to a censure, 34-10258, 6/29/73, no. 3, p. 79
Sterling D. Mallory and Milo E. Mallory, sanctions imposed by NASD are modified, 34-10244, 6/27/73, no. 3, p. 73
Safeco Securities, Inc., Kirby L. Cramer, Wallace E. Opdycke, sanctions imposed upon Cramer modified and those imposed upon Safeco and Opdycke set aside, 34-10257, 6/29/73, no. 3, p. 75
Schwarm and Company, sanctions imposed by NASD are modified to a censure, 34-10255, 6/29/73; no. 3, p. 73
Adolph D. Silverman, Charleen Franzen and Paul Goodman, applications for review of disciplinary action, proceedings re Silverman and Franzen remanded to NASD for further action; sanctions imposed on Goodman modified, 34-10327, 8/6/73, no. 8, p. 261
Proposed tax shelter rules, File 4-168, summary of, for comment by 8/15/73, 34-10260, 7/2/73, no. 3, p. 82; deadline for comments extended to 9/15/73, 34-10351, 8/20/73, no. 10, p. 316

NATIONAL STOCK EXCHANGE

Telectrol-Mek, Inc., application to strike from listing and registration granted, 34-10283, 7/13/73, no. 4, p. 142

Net capital requirements, *see* 34-10304, 7/30/73, no. 7, p. 218

NEW YORK STOCK EXCHANGE

Ancorp National Services, Inc. and Arlan's Department Stores, Inc., application to strike from listing and registration granted, 34-10328, 8/7/73, no. 8, p. 264
Continental Can Company, Inc., Erie Lackawanna Railway Company, GAC Corporation, Greek Government, Long Island Lighting Company, Midland-Ross Corporation, New Jersey Junction Railroad Co., New York and Harlem Railroad Company, Penn Central Transportation Co., Pittsburgh Youngstown & Ashtabula Ry. Co., St. Lawrence & Adirondack Railway Company, Warren Railroad Company, application granted to strike from listing and registration specified securities, 34-10343, 8/15/73, no. 9, p. 291
Rheingold Corporation, application to strike from listing and registration granted, 34-10280, 7/12/73, no. 4, p. 141
Commission rate schedules, part of proposal of 5/25/73 withdrawn; will not submit proposal to re-establish fixed commission rates on orders above $300,000, 34-10245, 6/28/73, no. 2, p. 38; public investigatory hearing will reconvene 8/16/73 to consider NYSE Exhibit No. 17, 34-10337, 8/10/73, no. 8, p. 269; conclusions re proposals, 34-10383, 9/11/73, no. 13, p. 425
Rule 19b-2 and Exchange Rule 318, proposed NYSE interpretation of the term "affiliated person" should be withdrawn, 34-10391, 9/13/73, no. 13, p. 429

Options trading, *see* 34-10312, 8/1/73, no. 7, p. 223; 34-10397, 9/21/73, no. 14, p. 465; 34-10415, 9/28/73, no. 16, p. 531

PACIFIC COAST STOCK EXCHANGE

Esmark, Inc., application for unlisted trading privileges, 34-10386, 9/13/73, no. 13, p. 426; granted 34-10417, no. 16, p. 531
Ets-Hokin Corporation, application to strike from listing and registration granted, 34-10353, 8/21/73, no. 10, p. 316

PBW STOCK EXCHANGE, INC.

Memorex Corporation and Great Western United Corporation, request for withdrawal of application for unlisted trading privileges granted, 34-10243, 6/27/73, no. 2, p. 36

Allen Group, Inc. and Clorox Company (California), application for unlisted trading privileges, 34-10279, 7/12/73, no. 4, p. 141; granted, 34-10349, 8/16/73, no. 9, p. 293
Hughes Tool Company, Robintech, Inc., Sambo's Restaurants, Inc., Sternco Industries, Inc., Vetco Offshore Industries, application for trading privileges granted, 34-10377, 9/7/73, no. 12, p. 400
Banister Continental Ltd. (Alberta), Esmark, Inc., First International Bankshares, Inc., Houston Oil & Minerals Corp., International Systems & Controls Corp., King Radio Corp., MGIC Investment Corp., Merrill Lynch & Co., Inc., Motorola, Inc., Reserve Oil & Gas Co., Teleprompter Corp., application for unlisted trading privileges, 34-10386, 9/13/73, no. 13, p. 426
The Plessey Company Limited, application for unlisted trading privileges in ADRs for American units, 34-10393, 9/17/73, no. 14, p. 463
Banister Continental Ltd. (Alberta), Esmark, Inc., First International Bankshares, Inc., Houston Oil & Minerals Corporation, International Systems & Controls Corporation, King Radio Corporation, MGIC Investment Corporation, Reserve Oil & Gas Company, Motorola, Inc., application for unlisted trading privileges granted, 34-10417, 10/3/73, no. 16, p. 531
Southern Natural Resources, Inc., application for unlisted trading privileges, 34-10424, 10/5/73, no. 17, p. 565; granted, 34-10462, 10/29/73, no. 20, p. 654
Chessie System, Inc. and Merrill Lynch & Co., Inc., application for unlisted trading privileges, 34-10459, 10/25/73, no. 19, p. 632
Commission rates, proposal to provide a 50% reduction in non-member and intra-member commissions under certain circumstances, 34-10245, 6/28/73, no. 2, p. 38; conclusion with respect to proposal, 34-10383, 9/11/73, no. 13, p. 425

Pig investment contracts, *see* LR-6068, 9/20/73, no. 14, p. 488

Practice before the Commission, *see* 33-5404, 6/18/73, no. 1, p. 1; 33-5411, 34-10296, 7/25/73, no. 6, p. 189; 34-10458, 10/26/73, no. 19, p. 631

Projections, *see* 34-10233, 6/22/73, no. 1, p. 9; LR-6114, 10/30/73, no. 20, p. 711

PUBLIC UTILITY HOLDING COMPANY ACT OF 1935

Forms

Form U5S, proposed amendment of Instructions as to Financial Statements, 35-18025, 7/9/73, no. 4, p. 117
Pyramid promotion, *see* LR-5961, 7/3/73, no. 3, p. 111; LR-5970, 7/12/73, no. 4, p. 155

SECURITIES ACT OF 1933

Forms

Forms S-1, S-7, S-8, S-9, S-11, proposed amendment of instructions pertaining to financial statements, summaries of operations and exhibits, 33-5405, 7/9/73, no. 4, p. 117
Proposed Form 146, Report of sale of securities pursuant to Rule 146, revised, for comment by 11/15/73; 33-5430, 10/10/73, no. 17, p. 550

Rules

Proposed Rule 146, Transactions by an issuer deemed not to involve any public offering, notice of revision for comment by 11/15/73, 33-5430, 10/10/73, no. 17, p. 550
Proposed Rule 239, exempting from registration certain first lien promissory notes, 33-5419, 9/6/73, no. 12, p. 397
Rule 257, Offerings not in excess of $50,000, proposed amendment withdrawn, 33-5430, 10/10/73, no. 17, p. 550
Proposed Rule 458, requiring issuers to provide summaries of registration statements, 33-5410, 7/24/73, no. 6, p. 188; *adopted* eff. 11/15/73, 33-5424, 9/20/73, no. 14, p. 462; par. (a)(4) *amended* to change word "offering" to read "filing," 33-5424A, 11/1/73, no. 20, p. 653

SECURITIES EXCHANGE ACT OF 1934

Forms

Form BD, Application for registration as a broker-dealer or to amend such an application, proposed amendment, 34-10262, SIPA-4, 7/3/73, no. 3, p. 65
Forms 10, 12, 8-K, 10-K, 11-K, and 12-K, proposed amendment of instructions pertaining to financial statements, summaries of operations and exhibits, 34-10272, 7/9/73, no. 4, p. 117
Forms 10 and 10-K, proposed revision of Instructions 13 and 7, respectively, of Instructions as to Financial Statements, 34-10381, 9/12/73, no. 13, p. 413
Form X-17A-5, Annual report of members, brokers and dealers, proposed amendment to permit independent public

accountants to perform preliminary audit procedures, 34-10297, 7/25/73, no. 6, p. 193; proposed amendments to revise and improve the content of the report and the audit requirements, 34-10392, 9/14/73, no. 13, p. 432; audit requirements *amended* to permit the independent public accountant to perform preliminary audit procedures, new Part III added, 34-10398, 9/20/73, no. 14, p. 467

Rules

Proposed Rule 9b-1, Prohibition of exchange transactions in options except pursuant to an effective plan, revised and republished for comment, 34-10397, 9/21/73, no. 14, p. 465
Proposed Rule 15b10-10, Execution of investment company portfolio transactions, exposed for comment by 7/31/73, 34-10246, 6/27/73, no. 2, p. 39; *adopted* and Rule 15b10-1 amended, 34-10439, 10/19/73, no. 18, p. 598
Rule 15c3-1(a)(3) and (4), clarification of applicability of minimum net capital requirements in, 34-10304, 7/30/73, no. 7, p. 218
Proposed Rule 17a-1, a record keeping rule, and proposed amendment of Rule 17a-6, re destruction of records, comment period extended to 8/10/73, 34-10275, 7/11/73, no. 4, p. 139
Rule 17a-3(a) and 17a-11(c), notice of intention to issue an interpretation of the word "current," comments requested, 34-10329, 8/9/73, no. 8, p. 264
Rule 17a-5, proposed amendment to permit independent public accountants to perform preliminary audit procedures, 34-10297, 7/25/73, no. 6, p. 193; amendment adopted 34-10398, 9/20/73, no. 14, p. 467
Rule 17a-15, extension of time for filing comments on Commission letter to sponsors of consolidated tape plan to 8/10/73, 34-10247, 7/11/73, no. 4, p. 139; interpretation of market designation requirements, 34-10388, 9/12/73, no. 13, p. 427
Rule 19b-2, letter to NYSE re their proposed interpretation of "affiliated person," 34-10391, 9/13/73, no. 13, p. 429

SECURITIES INVESTOR PROTECTION ACT OF 1970

Forms

Form S6(d)A-1 re Fails to Deliver; Form S6(d)A-2, re Fails to Receive; Form S6(d)B, Summary of Buy-Ins or Sell-Outs; Form S6(d)C-1, Report of Status of Fails to Deliver; Form S6(d)C-2, Report of Status of Fails to Receive; adopted effective 7/25/73, SIPA-5, 7/25/73, no. 6, p. 173

Rules

Rule S6d-1, Completion of Open Contractual Commitments, adopted eff. 7/25/73, SIPA-5, 7/25/73, no. 6, p. 173

SPOKANE STOCK EXCHANGE

Elkhorn Mining Company, order issued granting application to strike from listing and registration, 34-10353, 8/21/73, no. 10, p. 316
Notice of supplemental information filed with respect to applications pursuant to par. (h) of Rule 17a-15 for exemption from provisions of Rule 17a-15, 34-10362, 8/23/73, no. 10, p. 320

STATEMENTS BY THE COMMISSION

Appropriate utilization and dissemination of undisclosed material information, solicitation of comments on, 34-10316, 8/1/73, no. 7, p. 229; extension of time for comment to 10/15/73, 34-10371, 8/30/73, no. 11, p. 350
Denial of Petition, on behalf of Public Citizen, Inc. and National Committee for an Effective Congress, Seeking to Amend Rules Promulgated under §14(a) of the SEA of 1934 to require disclosure of separate segregated fund to be utilized for political purposes by a corporation, 34-10325, 35-18054, IC-7927, 8/7/73, no. 8, p. 259
Disclosure of Litigation and Disciplinary Proceedings, inquiry to obtain information and ascertain views concerning materiality of, 33-5411, 34-10296, 7/25/73, no. 6, p. 189; extension of time for comment to 10/30/73, 33-5422, 34-10394, 9/18/73, no. 14, p. 461
Minimum net capital requirements of Rule 15c3-1(a)(3) and (4) of SEA, application of, 34-10304, 7/30/73, no. 7, p. 218
Option trading by specialists, market makers, floor traders, and block positioners, question under review and public comment requested, 34-10312, 8/1/73, no. 7, p. 223
Variable life insurance contracts, filing registration statements for, 33-5413, 8/2/73, no. 7, p. 218

SUSPENSIONS OF TRADING

Aadan Corporation, 34-10322 8/3/63, no. 8, p. 257
Aberle Industries, Inc., 24-10400 9/19/73, no. 14, p. 470
Accurate Calculator Corporation, 34-10320 8/3/73, no. 7, p. 231
Air California, 34-10228 6/19/73, no. 1, p. 7
Airtronics International Corp. of Florida, 34-10412 9/28/73, no. 16, p. 529

Allied Management & Systems Corp., 34-10412 9/28/73, no. 16, p. 529
American Home Industries Corp., 34-10288 7/19/73, no. 5, p. 160
America's Beautiful Cities, 34-10315 8/1/73, no. 7, p. 229
Apollo Industries, Inc., 34-10236 6/22/73, no. 2, p. 33
Applied Fluidics, Inc., 34-10400 9/19/73, no. 14, p. 470
Atreo Manufacturing Co., Inc., 34-10281 7/12/73, no. 4, p. 141
Autobale America Corp., 34-10453 10/24/73, no. 19, p. 629
Aviation Holding Corp., 34-10248 6/28/73, no. 2, p. 41
Avtek Corp., 34-10319 8/2/73, no. 7, p. 230
Aztec Products, Inc., 34-10267 7/3/73, no. 3, p. 97
BISI, Inc., 34-10271 7/6/73, no. 3, p. 98
Beneficial Laboratories, Inc., 34-10460 10/26/73, no. 19, p. 632
Bullion Monarch Co., 34-10413 9/28/73, no. 16, p. 530
CEC Corporation, 34-10236 6/22/73, no. 2, p. 33
C I C Industries, Inc., 34-10340 8/14/73, no. 9, p. 290; 34-10408 9/26/73, no. 15, p. 496
Chris McGuire, Inc., 34-10264 7/2/73, no. 3, p. 90
Coastal States Gas Corporation, 34-10268 7/3/73, no. 3, p. 97; 34-10408 9/26/73, no. 15, p. 496
Coastal States Gas Producing Company, 34-10334 8/8/73, no. 8, p. 267; 34-10336 8/8/73, no. 8, p. 268; 34-10408 9/26/73, no. 15, p. 496
Co-Build Companies, Inc., 34-10396, 9/18/73, no. 14, p. 464
Coditron Corporation, 34-10319, 8/2/73, no. 7, p. 230
Collins Radio Corp., 34-10344 8/16/73, no. 9, p. 291; 34-10360 8/23/73, no. 10, p. 319
Colorado Interstate Corporation, 34-10334 8/8/73, no. 8, p. 267; 34-10336 8/8/73, no. 8, p. 268; 34-10408 9/26/73, no. 15, p. 496
Colorado Interstate Gas Company, 34-10334 8/8/73, no. 8, p. 267; 34-10336 8/8/73, no. 8, p. 268; 34-10408 9/26/73, no. 15, p. 496
Comprehensive Health Systems, Inc., 34-10240 6/26/73, no. 2, p. 35
Compusamp, Inc., 34-10373 9/4/73, no. 12, p. 398
Consolidated Medical Industries, Inc., 34-10410 9/27/73, no. 15, p. 499
Credit Systems, Inc., 34-10281 7/12/73, no. 4, p. 141
Crown Drug Company, 34-10235 6/22/73, no. 2, p. 33
Data Pacific Corp., 34-10281 7/12/73, no. 4, p. 141
Datamedia International, Inc., 34-10269 7/5/73, no. 3, p. 98
Dekcraft Corp., 34-10298 7/25/73, no. 6, p. 196
Di-An Controls, Inc., 34-10302 7/26/73, no. 6, p. 199
Diapulse Corporation of America, 34-10321 8/3/73, no. 7, p. 232
Display Sciences, Inc., 34-10271 7/6/73, no. 3, p. 98
Diversified Earth Sciences, Inc., 34-10389 9/12/73, no. 13, p. 428; 34-10414 10/1/73, no. 16, p. 530
ECOM-Systems, Inc., 34-10237 6/25/73, no. 2, p. 34
El Camino Financial Corporation, 34-10235 6/22/73, no. 2, p. 33
Eldredge & Co., Inc., 34-10410 9/27/73, no. 15, p. 499
Environmental Services, Inc., 34-10321 8/3/73, no. 7, p. 232
FAIM Information Services, Inc., 34-10385 9/12/73, no. 13, p. 426
Fairfield General, Inc., 34-10470 10/31/73, no. 20, p. 663
Federal Hydronics, Inc., 34-10315 8/1/73, no. 7, p. 229
Federated Franchises, Inc., 34-10301 7/26/73, no. 6, p. 199
First Leisure Corporation, 34-10474, 11/1/73, no. 20, p. 666
Fluid Power Pump Company, 34-10400 9/19/73, no. 14, p. 470
Flying Diamond Corp., 34-10232 6/22/73, no. 1, p. 9; 34-10249, no. 2, p. 41
Franz Hubinger A. G. Inc., 34-10390 9/12/73, no. 13, p. 428
General Gilbert Corporation, 34-10237 6/25/73, no. 2, p. 34
General Shelter Corporation, 34-10290 7/20/73, no. 6, p. 190
Glenn Explorations, Inc., 34-10248 6/28/73, no. 2, p. 41
Globa, Inc., 34-10435 10/11/73, no. 17, p. 571
Goodway, Inc., 34-10285 7/13/73, no. 5, p. 158
Great Republic Financial Corp., 34-10256 6/29/73, no. 3, p. 75
Greater Continental Corp., 34-10410 9/27/73, no. 15, p. 499
Hanley Consolidated, Inc., 34-10418 10/3/73, no. 16, p. 532
Hetra Computer & Communications Industries, Inc., 34-10271 7/6/73, no. 3, p. 98
Hi-Plains Enterprises, Inc., 34-10321 8/3/73, no. 7, p. 232
Home-Stake Production Company, 34-10333 8/8/73, no. 8, p. 267; 34-10347 8/16/73, no. 9, p. 292
Horizon Industries Ltd., 34-10241 6/26/73, no. 2, p. 35
Hydroplex Corp., 34-10435 10/11/73, no. 17, p. 571
I. D. Precision Components Corporation, 34-10310 7/31/73, no. 7, p. 223
I M C International, Inc., 34-10321 8/3/73, no. 7, p. 232

International Assemblix Corporation, 34-10250 6/28/73, no. 2, p. 42
International Development Corporation, 34-10240 6/26/73, no. 2, p. 35
International Systems Associates, Ltd., 34-10278 7/11/73, no. 4, p. 140
Interstate Computer Services, Inc., 34-10321 8/3/73, no. 7, p. 232
Jefferson National Trust, 34-10269 7/5/73, no. 3, p. 98
Johnny Unitas Quarterback Clubs, Inc., 34-10287 7/18/73, no. 5, p. 159
Kent Industries, Inc., 34-10330 8/8/73, no. 8, p. 266
Koracorp Industries, Incorporated, 34-10332 8/8/73, no. 8, p. 266
Land and Leisure, Inc., 34-10223 6/18/73, no. 1, p. 5
Landmark-Townes, Inc., 34-10235 6/22/73, no. 2, p. 33
Langis Silver & Cobalt Mining Co., Ltd., 34-10418 10/3/73, no. 16, p. 532
Life Insurance Securities Corp., 34-10338 8/13/73, no. 9, p. 289
Logos Development Corporation, 34-10261 7/2/73, no. 3, p. 87
Lyntex Corporation, 34-10302 7/26/73, no. 6, p. 199
M S I Corporation, 34-10330 8/8/73, no. 8, p. 266
Mackey's Judo, Inc., Jerome, 34-10364 8/24/73, no. 11, p. 346
Madjac Data Co., Inc., 34-10256 6/29/73, no. 3, p. 75
Malaker Corporation, 34-10269 7/5/73, no. 3, p. 98
Mechanical Enterprises, Inc., 34-10302 7/26/73, no. 6, p. 199
Medichek, Inc., 34-10281 7/12/73, no. 4, p. 141
Monmouth Industries, Inc., 34-10236 6/22/73, no. 2, p. 33
Multitech, Inc., 34-10271 7/6/73, no. 3, p. 98
National Filtronics, Inc., 34-10269 7/5/73, no. 3, p. 98
National Health Services, Inc., 34-10437 10/12/73, no. 18, p. 598
North American Building Technology, Inc., 34-10385, 9/12/73, no. 13, p. 426
Offshore Sea Development Corp., 34-10288 7/19/73, no. 5, p. 160
Omni-Rx Health Systems, 34-10425 10/5/73, no. 17, p. 565
Orecraft, Inc., 34-10369 8/29/73, no. 11, p. 350
PMC-Powdered Metals Corporation, 34-10235 6/22/73, no. 2, p. 33
Pace Industries, Inc., 34-10250 6/28/73, no. 2, p. 42
Pacer Corporation, 34-10345 8/16/73, no. 9, p. 292
Paragon Securities Co., 34-10323 8/9/73, no. 8, p. 258
Pelorex Corporation, 34-10365 8/27/73, no. 11, p. 346
Penn General Agencies, Inc., 34-10427 10/11/73, no. 17, p. 566; 34-10452 10/24/73, no. 19, p. 628
Pennsylvania Life Company, 34-10428 10/10/73, no. 17, p. 567; 34-10452 10/24/73, no. 19, p. 628
Petro Silver, Inc., 34-10361 8/23/73, no. 10, p. 320
Philpot Industries, R. D., 34-10250 6/28/73, no. 2, p. 42
Photon, Inc., 34-10291 7/20/73, no. 6, p. 190
Players Group Companies, Inc., 34-10315 8/1/73, no. 7, p. 229
Programming Sciences Corporation, 34-10290 7/20/73, no. 6, p. 190
Progressive National Industries, Inc., 34-10271 7/6/73, no. 3, p. 98
Proof Lock International, Inc., 34-10273 7/10/73, no. 4, p. 139
Radiation Service Associates, 34-10300 7/26/73, no. 6, p. 198
Radioptics, Inc., 34-10287 7/18/73, no. 5, p. 159
Ridge Bio-Laboratories, Inc., 34-10293 7/24/73, no. 6, p. 192
Royal Atlas Corp., 34-10256 6/29/73, no. 3, p. 75
Royal Properties, Inc., 34-10234 6/22/73, no. 1, p. 9
Sanitas Service Corp., 34-10403 9/24/73, no. 15, p. 494
Scientific Control Corp., 34-10248 6/28/73, no. 2, p. 41
Seaboard Corporation, 34-10445 10/18/73, no. 18, p. 602
Securities of America, Inc., 34-10240 6/26/73, no. 2, p. 35
Seggos Industries, Inc., 34-10311 7/31/73, no. 7, p. 223
Silver Exploration, Inc., 34-10331 8/8/73, no. 8, p. 266
Silver Reef Mines, Ltd., 34-10250 6/28/73, no. 2, p. 42
Star-Glo Industries, Inc., 34-10251 6/28/73, no. 2, p. 42
Stratton Group, Ltd., 34-10378 9/7/73, no. 12, p. 400
Suitomat Corporation, 34-10442 10/16/73, no. 18, p. 601
Syner Data Inc., 34-10310 7/31/73, no. 7, p. 223
Technical Resources Inc., 34-10444 10/18/73, no. 18, p. 601
Telectro-Mek, Incorporated, 34-10286 7/16/73, no. 5, p. 159
TelePrompTer Corporation, 34-10379 9/10/73, no. 13, p. 424
Test Corp., 34-10293 7/24/73, no. 6, p. 192
Textured Products, Inc., 34-10356 8/22/73, no. 10, p. 317
Torginol Industries, Inc., 34-10435 10/11/73, no. 17, p. 571

Trionics Engineering Corporation, 34-10436 10/11/73, no. 17, p. 572
Tycodyne Industries Corp., 34-10281 7/12/73, no. 4, p. 141
United Gibraltar Corporation, 34-10471 10/31/73, no. 20, p. 664
United States National Bank of San Diego, 34-10451 10/24/73, no. 19, p. 628
Universal Dynamics Incorporated, 34-10250 6/28/73, no. 2, p. 42
Vahlsing, Inc., 34-10338 8/13/73, no. 9, p. 289
Vanderbilt Gold Corporation, 34-10401 9/20/73, no. 14, p. 471
Wain Resources, Inc., 34-10302 7/26/73, no. 6, p. 199
Westgate California Corporation, 34-10228 6/19/73, no. 1, p. 7; 34-10451 10/24/73, no. 19, p. 628

Tax sheltered programs, *see* 34-10260, 7/2/73, no. 3, p. 82; 34-10351, 8/20/73, no. 10, p. 316; LR-6028, 8/22/73, no. 10, p. 339

Treasury stock, *see* AS-146, 8/24/73, no. 10, p. 313

TRUST INDENTURE ACT OF 1939

Bankers Trust New York Corporation, application for order re trusteeship of The Bank of New York, 39-345, 10/12/73, no. 17, p. 593; granted, 39-347, 11/2/73, no. 20, p. 716
The Flintkote Company, application for order re trusteeship of Bankers Trust Company, 39-343, 9/27/73, no. 15, p. 520; granted 39-346, 10/19/73, no. 19, p. 651
Irving Trust Company, finding re trusteeship, 39-342, 7/6/73, no. 3, p. 113
Pacific Gas and Electric Company, order exempting its First and Refunding Mortgage Bonds from certain provisions of the Act, 39-341, 7/2/73, no. 3, p. 113
PepsiCo, Inc., application for order re trusteeship of First National City Bank, 39-344, 10/3/73, no. 16, p. 543

Whiskey warehouse receipts, *see* LR-5963, 7/5/73, no. 3, p. 112; 34-10306, IA-387, 7/30/73, no. 7, p. 220; LR-6022, 8/15/73, no. 9, p. 310; LR-6035, 8/23/73, no. 10, p. 341; LR-6061, 9/17/73, no. 14, p. 486

ALPHABETICAL LIST OF PERSONS AND COMPANIES NAMED IN NOTICES, ORDERS, FINDINGS, OPINIONS, ADMINISTRATIVE PROCEEDINGS, AND OTHER COMMISSION RELEASES

AFCOA, LR-5974, no. 5, p. 168
A. M. Capital Corporation, IC-8009, no. 15, p. 509; IC-8049, no. 20, p. 687
Aadan Corporation, LR-6012, no. 8, p. 288; LR-6026, no. 10, p. 338
Abella, Frank J., Jr., 34-10314, IA-388, IC-7917, no. 7, p. 288
Aber, Bertram, LR-6017, no. 9, p. 307
Abrams, Donald H., LR-6058, no. 13, p. 454; LR-6112, no. 19, p. 650; LR-6113, no. 20, p. 711
Academy Computing Corporation, 34-10441, no. 18, p. 600
Acciarito, Domenic N., LR-5953, no. 3, p. 109
Acme Fund, Inc., IC-8035, no. 18, p. 613
Adlman, Steven, LR-6105, no. 18, p. 620
Aetna Income Fund, IC-8021, no. 16, p. 539
Aetna Properties, Inc., LR-6017, no. 9, p. 307
Africa, U.S.A., LR-5939, no. 1, p. 29
Agers, Joe S., LR-6014, no. 8, p. 288
Agnew, Jack A., Jr., LR-6004, no. 7, p. 252
Air Pacific Airlines, Inc., LR-6027, no. 10, p. 339
Airline Development Corporation, LR-6027, no. 10, p. 339
Alabama Power Company, 35-18004, no. 1, p. 10; 35-18020, no. 3, p. 99; 35-18033, no. 5, p. 160; 35-18042, no. 7, p. 232; 35-18078, no. 11, p. 360; 35-18099, no. 14, p. 474; 35-18150, no. 20, p. 682
Aldersgate Foundation, Inc., LR-5983, no. 6, p. 208
Aldridge, Jack, LR-6008, no. 7, p. 253
Alessandrini & Co., Inc., 34-10313, no. 7, p. 225; 34-10466, no. 20, p. 662
Alessandrini, Paul P., 34-10313, no. 7, p. 225; 34-10466, no. 20, p. 662
Alexander, Eric, LR-5961, no. 3, p. 111
Alexander, Samuel A., Jr., 34-10277, no. 4, p. 140
All-State Metal Stamping, Inc., LR-6013, no. 8, p. 288
Allard, Kathryn A., LR-5963, no. 3, p. 112
Allegheny Pittsburgh Coal Company, 35-18123, no. 18, p. 602
Allegheny Power System, Inc., 35-18069, no. 11, p. 351
Allen, Charles I., LR-6074, no. 15, p. 518
Alper, Henry Alan, 34-10346, no. 9, p. 292
Amato, Anthony J., 34-10265, no. 3, p. 90

Ameribond Shares, Inc., IC-8014, no. 15, p. 514
American Agronomics Corporation, 34-10292, no. 6, p. 191
American Associated Financial Corp., LR-6015, no. 8, p. 288
American Associated Systems, Inc., LR-6015, no. 8, p. 288; LR-6025, no. 10, p. 338
American Bancshares Fund, Inc., IC-8052, no. 20, p. 689
American Capital Corporation, IC-7890, no. 4, p. 148
American Continental Industries, Inc., LR-6089, no. 17, p. 590; LR-6108, no. 19, p. 649
American Educational Specialists, Inc., 33-5406, no. 4, p. 138
American Electric Power Company, Inc., 35-18005, no. 1, p. 10; 35-18007, no. 1, p. 12; 35-18013, no. 2, p. 46; 35-18028, no. 4, p. 144; 35-18106, no. 16, p. 533; 35-18119, no. 17, p. 578; 35-18152, no. 20, p. 685
American Express International Banking Corporation, LR-6056, no. 13, p. 452
American General Convertible Securities, Inc., IC-8057, no. 20, p. 693
American Home Investment Company, LR-6099, no. 17, p. 593
American Investofunds, Inc., 34-10346, no. 9, p. 292
American National Trust, LR-6008, no. 7, p. 253
American Natural Gas Company, 35-18011, no. 2, p. 44; 35-18019, no. 3, p. 98; 35-18029, no. 4, p. 145; 35-10846, no. 7, p. 236; 35-18050, no. 7, p. 239; 35-18134, no. 19, p. 639
American Pork Producers, Inc., LR-6068, no. 14, p. 488
American-South African Investment Company Limited, IC-7894, no. 4, p. 151
American Southwest Development Corporation, LR-5977, no. 5, p. 169
American Tel Com Corp., LR-6020, no. 9, p. 309
Ameriprise, Inc., LR-5961, no. 3, p. 111
Anderson, Don D., 34-10441, no. 18, p. 600
Anderson & Co., Inc., Don D., 34-10441, no. 18, p. 600; 34-10469, no. 20, p. 663
Anderson LaDonna, LR-6102, no. 18, p. 619
Anderson, Richard A., LR-6102, no. 18, p. 619
Anfang, Dan, LR-6105, no. 18, p. 6105, no. 18, p. 620
Annos, William, LR-6075, no. 15, p. 518; LR-6116, no. 20, p. 713
Annunziato, Frank, LR-6075, no. 15, p. 518; LR-6116, no. 20, p. 713
Apex Oil Company, LR-5964, no. 4, p. 153; LR-5996, no. 6, p. 213
Appalachian Power Company, 35-18015, no. 2, p. 48
Aptaker, Irving, LR-6102, no. 13, p. 619
Aragon Fund, Inc., IC-7875, no. 2, p. 54
Arizona-Florida Equities Corporation, 34-10355, no. 10, p. 317; 34-10448, no. 19, p. 627
Arkansas-Missouri Power Company, 35-18008, no. 1, p. 14; 35-18059, no. 9, p. 297
Arkansas Power & LIght Company, 35-18021, no. 3, p. 100; 35-18026, no. 4, p. 143; 35-18051, no. 8, p. 269; 35-18063, no. 10, p. 324; 35-18070, no. 11, p. 353; 35-18097, no. 14, p. 472; 35-18135, no. 19, p. 642
Armour and Company, LR-5973, no. 4, p. 165
Arthur, Gene Earl, LR-5970, no. 4, p. 155
Aryeh, Samuel, 34-10238, no. 2, p. 34
Asen, Robert S., LR-6056, no. 13, p. 452
Ashdown, Edward Henry, LR-5938, no. 1, p. 29; LR-6031, no. 10, p. 340
Ashton, Wesley, LR-6102, no. 18, p. 619
Aspen Fund II, LR-6056, no. 13, p. 452
Asset Shelters Group, Inc., LR-5965, no. 4, p. 153; 34-10416, LR-6080, no. 16, p. 531
Associated Equities Corporation, LR-6063, no. 14, p. 486
Associated Investors, 34-10273, no. 4, p. 139
Associated Underwriters, Inc., LR-6063, no. 14, p. 486
Atherton, Irving, 34-10261, no. 3, p. 87
Atkinson, Harry Bryant, LR-5970, no. 4, p. 155
Audsley, Harold D., Jr., LR-6004, no. 7, p. 252
Aurrie and Co., Inc., 34-10405, no. 15, p. 495
Aurrie, Gregory, 34-10405, no. 15, p. 495
Aurrie Management Co., Inc., 34-10405, no. 15, p. 495
Automated Information Systems, Inc., LR-6105, no. 18, p. 620
Ayre, Jack Gaylord, 34-10372, no. 12, p. 399

BLC Equity Services Corp., IC-7877, no. 2, p. 55; IC-7915, no. 7, p. 244
BLC Fund, Inc., IC-7877, no. 2, p. 55; IC-7915, no. 7, p. 244
BLC Growth Fund, Inc., IC-7877, no. 2, p. 55; IC-7915, no. 7, p. 244
BLC Income Fund, Inc., IC-7877, no. 2, p. 55; IC-7915, no. 7, p. 244
Baalman, O. J., IC-8055, 34-10468, IA-395, no. 20, p. 691
Bachelor Investments, Ltd., LR-6017, no. 9, p. 307
Badalamenti, Salvator "Sammy," LR-6121, no. 20, p. 714

Baer, Eric Adolph, LR-6036, no. 10, p. 342; LR-6058, no. 13, p. 454; LR-6113, no. 20, p. 711
Baer, Max J., LR-6005, no. 7, p. 252
Baerwald & Deboer, 34-10430, no. 17, p. 569
Baker, Jack Leonard, LR-6060, no. 14, p. 485
Baird, John B., LR-5966, no. 4, p. 154
Baird Patrick & Co., 34-10261, no. 3, p. 87
Banker's Fund, Inc., The, LR-6047, no. 12, p. 412; LR-6083, no. 16, p. 542
Bankers Trust Company, Inc., LR-6075, no. 15, p. 518; LR-6116, no. 20, p. 713
Banks, James Howard, LR-6122, no. 20, p. 715
Banner Oil and Gas Funds, Inc., LR-6041, no. 11, p. 376
Baradelli, Arthur, LR-6013, no. 8, p. 288
Barclay Growth Fund, Inc., The, IC-7895, no. 4, p. 151
Barnhill, Archie S., LR-5946, no. 2, p. 62
Baron & Co., Inc., 34-10253, no. 3, p. 71
Baron, Robin Glen, 34-10253, no. 3, p. 71
Barrett, Edwin, LR-5933, no. 1, p. 27
Barry, Joseph G., LR-5997, no. 6, p. 213
Barry and Sons, Joseph G., LR-5997, no. 6, p. 213
Bart, Edward L., 34-10432, no. 17, p. 570
Batis, George L., LR-5947, no. 2, p. 62
Bay Appreciation Fund, IC-7872, no. 2, p. 52; IC-7909, no. 6, p. 207
Bay Capital Fund, IC-7871, no. 2, p. 52; IC-7908, no. 6, p. 207
Beal & Co., Ltd., C. B., LR-6017, no. 9, p. 307
Beale, LeRoy, LR-5970, no. 4, p. 155
Becker, Joseph, LR-5968, no. 4, p. 154
Beckerman, Gary Stanley, LR-6122, no. 20, p. 715
Bellucci, Frank D., III, LR-5949, no. 2, p. 63
Belva Manor, Inc., LR-6015, no. 8, p. 288
Benge, Charles Dean, LR-6032, no. 10, p. 340
Benjamin, Medwin (a/k/a Maurice), LR-6017, no. 9, p. 307
Benjamin, Robert, LR-6017, no. 9, p. 307
Bentley, Maxwell, LR-6111, no. 19, p. 650
Bergleitner, George C., Jr., 34-10384, no. 13, p. 425; 34-10405, no. 15, p. 495
Berliner, Andrew, LR-5961, no. 3, p. 111
Bernstein, Marvin S., 34-10242, no. 2, p. 36; LR-5949, no. 2, p. 63; LR-6086, no. 17, p. 589
Bernstein Securities, Inc., 34-10242, no. 2, p. 36; LR-5949, no. 2, p. 63
Biddle, J. Craig, LR-5983, no. 6, p. 208
Bills, John G., 34-10265, no. 3, p. 90
Bio Derivatives Corporation, LR-5975, no. 5, p. 168
Bio-Medical Sciences, Inc., LR-6056, no. 13, p. 452
Bio-Medicus, Inc., LR-6038, no. 10, p. 342
Birnbaum, Morris, 34-10346, no. 9, p. 292
Bishop, George P., 34-10449, no. 19, p. 627
Blackstone Valley Electric Company, 35-18012, no. 2, p. 45; 35-18060, no. 9, p. 298; 35-18103, no. 15, p. 500; 35-18109, no. 16, p. 535; 35-18142, no. 20, p. 673
Blair & Co., 34-10434, no. 17, p. 571
Blair & Co., D. H., LR-6056, no. 13, p. 452
Blake, Stephens & Kittredge, Inc., IA-392, 34-10454, IC-8046, no. 19, p. 647
Blakeway, E. O., LR-5946, no. 2, p. 62; LR-5985, no. 6, p. 208
Blaney, Frank W., LR-6023, no. 9, p. 311
Blank, Burton, LR-6056, no. 13, p. 452
Blasius Industries, Inc., 34-10277, no. 4, p. 140
Block, Solomon, LR-6122, no. 20, p. 715
Blood, James L., 34-10448, no. 19, p. 627
Blyth Eastman Dillon & Co. Incorporated, IC-7880, no. 2, p. 58
Bosse, Thomas, LR-5960, no. 3, p. 110
Bounty Imports, Inc., IC-8068, no. 20, p. 708
Brady, Patrick, LR-5955, no. 3, p. 110; LR-6123, no. 20, p. 716
Brandenfels, Carl Martin, LR-5982, no. 6, p. 208; LR-6039, no. 11, p. 375
Brashier, Hunter Brooks, 34-10369, no. 11, p. 350; LR-6042, no. 11, p. 376
Brennan, John G., LR-6010, no. 7, p. 254
Brennan, Thomas F., III, LR-5933, no. 1, p. 27; 34-10242, no. 2, p. 36
Brier, Daniel S., 34-10261, no. 3, p. 87
Brier & Co., Inc., Daniel S., 34-10261, no. 3, p. 87
British Columbia Investment Company, LR-5941, no. 1, p. 29; LR-5995, no. 6, p. 212

Brockton Edison Company, 35-18012, no. 2, p. 45; 35-18060, no. 9, p. 298; 35-18076, no. 11, p. 358; 35-18103, no. 15, p. 500; 35-18105, no. 16, p. 532
Brown, Charles E., LR-6004, no. 7, p. 252
Brown, David L., LR-5980, no. 5, p. 170
Brownlee, George, 34-10432, no. 17, p. 570
Bruckner, William, LR-6056, no. 13, p. 452
Brungardt, Vernon Frances (a/k/a Tom V.), LR-5977, no. 5, p. 169
Buchanan, Neil, LR-6027, no. 10, p. 339
Buchman, Joseph, LR-5969, no. 4, p. 154; LR-5972, no. 4, p. 155
Buckman, Sidney R., 34-10261, no. 3, p. 87
Budin, Philip S., 34-10466, no. 20, p. 662
Budin & Co., Philip S., 34-10466, no. 20, p. 662
Buena Park Securities, LR-6066, no. 14, p. 487
Bunker Hill Income Securities, Inc., IC-7925, no. 8, p. 274; IC-7977, no. 12, p. 406; IC-8015, no. 15, p. 515
Burch, Clarence S. (a/k/a Pat), LR-5946, no. 2, p. 62; LR-5985, no. 6, p. 208
Burch, Gerald F. (a/k/a Mike), LR-5946, no. 2, p. 62; LR-5985, no. 6, p. 208
Burns, Steven C., 34-10458, no. 19, p. 631
Bush & Company, Inc., IC-8054, 34-10467, IA-394, no. 20, p. 690; IC-8055, 34-10468, IA-395, no. 20, p. 691
Bush, Sherman, 34-10456, no. 19, p. 630

CIA Vista Del Mar, S.A., LR-6069, no. 14, p. 488
CNA Association Members Fund, Inc., IC-7993, no. 14, p. 476; IC-8041, no. 18, p. 616
Caesar's World, LR-6065, no. 14, p. 486
Cahen, Stephen A., 34-10433, no. 17, p. 570
California Time Petroleum, Inc., 34-10463, no. 20, p. 654
California Venture Fund, Inc., IA-392, 34-10454, IC-8046, no. 19, p. 647
Callerman, Donald, 34-10432, no. 17, p. 570
Calo, John, 34-10346, no. 9, p. 292
Cambridge Fund, Inc., The, 34-10314, IA-388, IC-7917, no. 7, p. 288
Campbell, Newton L., LR-5946, no. 2, p. 62; LR-5985, no. 6, p. 208
Campo & Co., LR-5984, no. 6, p. 208
Campo, Ben, LR-5984, no. 6, p. 208
Cannata, Michael E., LR-6078, no. 15, p. 519
Capital Advisors, Inc., 34-10455, no. 19, p. 629
Capital Counsellors, Inc., 34-10455, no. 19, p. 629
Capitol Holding Corp., LR-6078, no. 15, p. 519
Caplin, Peter, 34-10405, no. 15, p. 495
Capo, David Jack, LR-6122, no. 20, p. 715
Caran, Robert, LR-5959, no. 3, p. 110; LR-6002, no. 7, p. 251
Cardinal Income Securities, Inc., IC-7889, no. 3, p. 108; IC-7920, no. 7, p. 246
Carey, Marlin Duane, LR-5952, no. 2, p. 64; LR-6001, no. 7, p. 251
Carlton-Cambrige, Inc., LR-5979, no. 5, p. 169
Carno, Inc., A. J., LR-5933, no. 1, p. 27
Carr, John T., LR-5961, no. 3, p. 111
Carroll, Archie B., LR-5980, no. 5, p. 170
Centaur Capital Corporation, 34-10309, no. 7, p. 222
Centaur Securities, Ltd., 34-10247, no. 2, p. 40; 34-10309, no. 7, p. 222; 34-10372, no. 12, p. 399
Centennial Investment Associates, Inc., LR-6075, no. 15, p. 518; LR-6116, no. 20, p. 713
Central Power and Light Company, 35-18071, no. 11, p. 354; 35-18116, no. 17, p. 575; 35-18118, no. 17, p. 578; 35-18151, no. 20, p. 683
Central and South West Corporation, 35-18116, no. 17, p. 575; 35-18151, no. 20, p. 683
Century Investment Transfer Corp., LR-6017, no. 9, p. 307
Champion Golf Club, Inc., 34-10227, no. 1, p. 7; 34-10289, no. 5, p. 160
Chandler, Roderic W., 34-10448, no. 19, p. 627
Channing Company, Inc., IC-8003, no. 15, p. 504
Charney, Leon, LR-6106, no. 18, p. 621
Charnita, Inc., LR-5956, no. 3, p. 110
Charter Street Corporation, LR-6101, no. 18, p. 619
Chase Manhattan Service Corporation, 35-18115, no. 17, p. 574
Chasen, Melvin, LR-6065, no. 14, p. 486
Chave, Donald W., LR-6075, no. 15, p. 518; LR-6116, no. 20, p. 713
Chemtrust Industries, 34-10358, no. 10, p. 318; 34-10387, no. 13, p. 427
Cheney, James H., IA-392, 34-10454, IC-8046, no. 19, p. 647
Cherry Creek International, Ltd., LR-6021, no. 9, p. 310; LR-6076, no. 15, p. 519

Chevalier, Geraldine, 34-10261, no. 3; p. 87; 34-10450, no. 19, p. 627
Chica, James J., 34-10425, SIPA-6, no. 17, p. 566
Chicago Board Options Exchange, Incorporated, 34-10395, no. 14, p. 463
Chicago Board Options Exchange Clearing Corporation, 34-10395, no. 14, p. 463
Christian-Paine & Co., Inc., LR-5979, no. 5, p. 169
Church Investors, Inc., 34-10464, no. 20, p. 655
Church Securities, Inc., 34-10464, no. 20, p. 655
Cirello, Joseph, LR-5933, no. 1, p. 27
Cirone, Frank, LR-6007, no. 7, p. 253
Citizens and Southern Capital Corporation, IC-7972, no. 11, p. 374; IC-8013, no. 15, p. 514
Citrix Oil Company, LR-6101, no. 18, p. 619
Clark, Jack L., LR-6067, no. 14, p. 487; LR-6073, no. 15, p. 518
Clark-First of Iowa Corp., R. V., LR-5986, no. 6, p. 209
Clifton, George L., LR-6014, no. 8, p. 288
Coastal States Gas Corporation, LR-6054, no. 13, p. 451; LR-6100, no. 18, p. 619
Coastal States Gas Producing Company, LR-6054, no. 13, p. 451
Cocomise, Joseph T., LR-6062, no. 14, p. 486
Coen, M. J., LR-5941, no. 1, p. 29; LR-5976, no. 5, p. 168; LR-5995, no. 6, p. 212; LR-6045, no. 12, p. 411
Cohen Goren Equities, Inc., 34-10252, no. 3, p. 70; 34-10261, no. 3, p. 87
Cohen, Stanley, 34-10252, no. 3, p. 70; 34-10261, no. 3, p. 87
Collins, Lawrence Grey, LR-6122, no. 20, p. 715
Coloff, Bernard, LR-5979, no. 5, p. 169
Colorado Energy Corporation, LR-6088, no. 17, p. 590
Colorado Interstate Corporation, LR-6054, no. 13, p. 451
Columbia Coal Gasification Corporation, 35-18143, no. 20, p. 674
Columbia Gas Development of Canada, Ltd., 35-18143, no. 20, p. 674
Columbia Gas of Kentucky, Inc., 35-18143, no. 20, p. 674
Columbia Gas of Maryland, Inc., 35-18143, no. 20, p. 674
Columbia Gas of New York, Inc., 35-18143, no. 20, p. 674
Columbia Gas of Ohio, Inc., 35-18143, no. 20, p. 674
Columbia Gas of Pennsylvania, Inc., 35-18143, no. 20, p. 674
Columbia Gas System, Inc., The, 35-18080, no. 11, p. 361; 35-18104, no. 15, p. 501; 35-18143, no. 20, p. 674; 35-18146, no. 20, p. 677
Columbia Gas Transmission Corporation, 35-18143, no. 20, p. 674
Columbia Gas of Virginia, Inc., 35-18143, no. 20, p. 674
Columbia Gas of West Virginia, Inc., 35-18143, no. 20, p. 674
Columbia Hydrocarbon Corporation, 35-18143, no. 20, p. 674
Columbia LNG Corporation, 35-18143, no. 20, p. 674
Combined Retail Services International, Inc., LR-5961, no. 3, p. 111
Comcorp, Inc., 34-10307, no. 7, p. 220; 34-10354, no. 10, p. 316
Command Financial Corporation, 34-10277, no. 4, p. 140
Commodore Growth Fund, Inc., IC-7888, no. 3, p. 107; IC-7918, no. 7, p. 245
Communications Cybernetics Corporation, LR-6091, no. 17, p. 591
Compu-Reader, Inc., LR-6033, no. 10, p. 341
Computer Consultants, Inc., 34-10318, no. 7, p. 230; IA-389, no. 10, p. 338
Computer Field Express, Inc., 34-10294, no. 6, p. 192
Computerized Knitwear, Inc., 34-10458, no. 19, p. 631
Computron Corporation, LR-5949, no. 2, p. 63
Condon, Lowell D., LR-6020, no. 9, p. 309
ConnBIA Fund, Inc., IC-8043, no. 18, p. 617
Connecticut Gas Company, The, 35-18068, no. 11, p. 350; 35-18101, no. 14, p. 476
Connecticut Light & Power Co., The, 35-18009, no. 1, p. 16; 35-18068, no. 11, p. 350; 35-18083, no. 12, p. 401; 35-18101, no. 14, p. 476; 35-18130, no. 18, p. 609
Connecticut Yankee Atomic Power Company, 35-18066, no. 10, p. 328; 35-18096, no. 13, p. 443; 35-18128, no. 18, p. 60
Conner, Donald E., 34-10299, no. 6, p. 197
Consolidated Gas Supply Corporation, 35-18040, no. 6, p. 202; 35-18085, no. 12, p. 402
Consolidated Mogul Mining Co., Inc., 33-5412, no. 6, p. 189
Consolidated Natural Gas Company, 35-18040, no. 6, p. 202; 35-18085, no. 12, p. 402
CNG Development Company, Ltd., 35-18040, no. 6, p. 202; 35-18085, no. 12, p. 402
CNG Producing Company, 35-18040, no. 6, p. 202; 35-18085, no. 12, p. 402
Consolidated Natural Gas Service Company, Inc., 35-18040, no. 6, p. 202; 35-18085, no. 12, p. 402
Consolidated System LNG Company, 35-18040, no. 6, p. 202; 35-18085, no. 12, p. 402
Contemporary Environments, Inc., 34-10399, no. 14, p. 470
Control Metals Corporation, LR-5951, no. 2, p. 64

Convertible Technique Fund, IC-7901, no. 5, p. 166; IC-7945, no. 9, p. 303
Cook & Co., C. E., 34-10419, no. 16, p. 532
Cook, C. Eugene, 34-10419, no. 16, p. 532
Cook, Joseph W., LR-5950, no. 2, p. 63
Cook & Son Oil Co., Inc., LR-5950, no. 2, p. 63
Cooper, Leonard, LR-5990, no. 6, p. 210
Cooperative Church Finance, Inc., LR-6019, no. 9, p. 309
Corona de Tuscon, Inc., 34-10355, no. 10, p. 317; 34-10448, no. 19, p. 627
Corporate Enterprises, Inc., IC-7959, no. 11, p. 365
Corvino, Joseph, LR-5979, no. 5, p. 169
Counselors Investment Fund, Inc., IC-7976, no. 12, p. 405; IC-8024, no. 16, p. 541
Covar, Ulises, 34-10346, no. 9, p. 292
Coven, Bernard J., LR-5979, no. 5, p. 169
Cramer, Kirby L., 34-10257, no. 3, p. 75
Cranford, L. E., 34-10461, no. 20, p. 653
Crocker Investment Management Corp., IC-8061, no. 20, p. 698
Croyle Computer Services, Inc., 33-5423, no. 14, p. 462
Cudd, William J., LR-5951, no. 2, p. 64
Cummings, Inc., Bob, LR-5961, no. 3, p. 111
Cunningham, Bobbie C., LR-5999, no. 6, p. 213
Curtin, Edward, 34-10273, no. 4, p. 139
Curtin, Walter R., LR-6003, no. 7, p. 251

DCS Financial Corporation, LR-5998, no. 6, p. 213
Dabinowitz, Joseph Morton, LR-6056, no. 13, p. 452
Daly, Joanne, LR-5990, no. 6, p. 210
Damon Security Investments Company, Inc., LR-5999, no. 6, p. 213
Dare To Be Great, Inc., LR-5970, no. 4, p. 155
Darren Securities Corp., 34-10346, no. 9, p. 292
Data-Call Systems, Inc., LR-6033, no. 10, p. 341
Date-A-Supergirl, Inc., 33-5431, no. 17, p. 565
Datronics Engineers, Inc., LR-6033, no. 10, p. 341
Davis, J. Morton, LR-6056, no. 13, p. 452
Dawson, Paul, LR-5934, no. 1, p. 28; LR-6002, no. 7, p. 251; LR-6069, no. 14, p. 488
Dean Witter & Co. Incorporated, IC-7934, no. 8, p. 279; IC-8042, no. 18, p. 616; IC-8059, no. 20, p. 695
DeBlasi, John, LR-6053, no. 13, p. 451; LR-6064, no. 14, p. 486
Deeb, Albert J., LR-6035, no. 10, p. 341
Delaney, Martin, LR-5955, no. 3, p. 110
Delaware Securities, Inc., LR-6120, no. 20, p. 714
Delmarva Power & Light Company, 35-18006, no. 1, p. 12; 35-18027, no. 4, p. 143; 35-18036, no. 5, p. 162; 35-18037, no. 5, p. 163; 35-18053, no. 8, p. 271; 35-18057, no. 9, p. 294; 35-18058, no. 9, p. 295; 35-18072, no. 11, p. 355; 35-18077, no. 11, p. 359; 35-18087, no. 13, p. 436; 35-18138, no. 19, p. 645
Delmarva Power & Light Company of Maryland, 35-18037, no. 5, p. 163; 35-18072, no. 11, p. 355
Delmarva Power & Light Company of Virginia, 35-18036, no. 5, p. 162; 35-18057, no. 9, p. 294
Del Rio Springs, Inc., 34-10407, no. 15, p. 495
Dempsey, William, LR-5961, no. 3, p. 111
Dennison Personnel, Inc., LR-5979, no. 5, p. 169
De Ritchie, LR-6018, no. 9, p. 308; LR-6024, no. 9, p. 311; LR-6081, no. 16, p. 542
Develco Inc., LR-6009, no. 7, p. 253
Development Corporation and Distributing Company of America, LR-6102, no. 18, p. 619
Development Securities Corporation, 34-10284, no. 4, p. 142
Diamond Growth Fund, Inc., 34-10266, no. 3, p. 96
Diamond Management, Inc., 34-10266, no. 3, p. 96
Dickinson, Rothbart & Co., Inc., LR-6109, no. 19, p. 649
diVencenzo, Roc A., LR-5953, no. 3, p. 109; LR-6053, no. 13, p. 451; LR-6064, no. 14, p. 486
Dixon, David A., LR-5957, no. 3, p. 110
Doerge, John O., 34-10341, no. 9, p. 290
Dolan, Charles T., LR-6046, no. 12, p. 411; LR-6087, no. 17, p. 589; LR-6617, no. 20, p. 713
Doll, Richard, LR-6056, no. 13, p. 452
Dominick Fund, Inc., The, IC-7895, no. 4, p. 151
Dominick Management Corporation, IC-7895, no. 4, p. 151
D'Onofrio, Ramon, LR-5990 and LR-5991, no. 6, p. 210
Donsky, Gerald G., LR-6023, no. 9, p. 311
Doores Securities Corp., 34-10342, no. 9, p. 291
Drexel Bond-Debenture Trading Fund, IC-7922, no. 7, p. 248; IC-7966, no. 11, p. 371

Drexel Hedge Fund, Inc., IC-7893, no. 4, p. 150; IC-7932, no. 8, p. 279
Dreyfus Fund, Incorporated, The, IC-7870, no. 1, p. 20
Duncan, David Eugene, LR-6047, no. 12, p. 412; LR-6083, no. 16, p. 542
Duncan, James G., LR-6041, no. 11, p. 376
Dunkel, Wilfred, IC-8006, no. 15, p. 506
Du Pont de Nemours and Company, E. I., IC-7869, no. 1, p. 20
duPont-Walston Incorporated, IC-7946, no. 9, p. 303; IC-8014, no. 15, p. 514; IC-8020, no. 16, p. 538
Durmeier, Duane, LR-5944, no. 2, p. 61
Dutzar, Michael, LR-6051, no. 13, p. 450
Duvest Corporation, LR-6051, no. 13, p. 450

East Ohio Gas Company, 35-18040, no. 6, p. 202; 35-18085, no. 12, p. 402
Eastern Utilities Associates, 35-18012, no. 2, p. 45; 35-18060, no. 9, p. 298; 35-18076, no. 11, p. 358; 35-18103, no. 15, p. 500; 35-18105, no. 16, p. 532; 35-18109, no. 16, p. 535; 35-18142, no. 20, p. 673
Eberstadt Fund, Inc., The, IC-7951, no. 10, p. 333; IC-7981, no. 12, p. 411
Eberstadt & Co., Managers and Distributors, F., IC-7951, no. 10, p. 333,; IC-7981, no. 12, p. 411
Econetics, Inc., 34-10308, no. 7, p. 221
Economy Inns Corporation, 34-10447, no. 19, p. 626
Edens, Lloyd Douglas, LR-6122, no. 20, p. 714
Einiger, Irwin, 34-10225, no. 1, p. 6
Eisenberg, Robert A., LR-6078, no. 15, p. 519
Elkind, Joseph, 34-10273, no. 4, p. 139
Elsinore Royalty Inc., LR-5941, no. 1, p. 29
Emerging Securities Fund, LR-6056, no. 13, p. 452
Emerging Securities Management Company, Inc., LR-6056, no. 13, p. 452
Emling, Richard Francis, 34-10239, no. 2, p. 34
Empire Fire and Marine Insurance Co., LR-6107, no. 19, p. 648
Empire Oil Corporation, LR-6078, no. 15, p. 519
Enslin, George Raymond, LR-6060, no. 14, p. 485
Enterprise Securities Corporation, 34-10263, no. 3, p. 88
Equitable Development Corp., The, 34-10448, no. 19, p. 627
Equitable Equities, Inc., 34-10465, no. 20, p. 656
Equity Funding Corporation of America, LR-6122, no. 20, p. 715
Equity Funding Life Insurance Company, LR-6122, no. 20, p. 715
Equity Growth Fund of America, Inc., IC-8003, no. 15, p. 504
Equity Progress Fund, Inc., IC-8003, no. 15, p. 504
Equity Securities Corporation, LR-5936, no. 1, p. 28
Erie-Niagara, Ltd., 33-5406, no. 4, p. 138
Euzent, Sylman I., LR-6033, no. 10, p. 341
Evans, Jerome H., LR-6122, no. 20, p. 714
Everard, Harry D., LR-5970, no. 4, p. 155
Excelsior Income Shares, Inc., IC-7971, no. 11, p. 373
Exeter Second Fund, Inc., IC-7866, no. 1, p. 17; IC-7900, no. 5, p. 165
Exeter Third Fund, Inc., IC-7867, no. 1, p. 17; IC-7899, no. 5, p. 165
Explorer Fund, Inc., IC-8017, no. 15, p. 516

FDF 120 Accumulator Plan, The, IC-7970, no. 11, p. 372; IC-8012, no. 15, p. 514; IC-8032, no. 18, p. 610
FTI Corporation, LR-5980, no. 5, p. 170
Fabri-Glas, Inc., 33-5426, no. 16, p. 525
Fairfield Securities, Inc., LR-5933, no. 1, p. 27
Fall River Electric Light Company, 35-18012, no. 2, p. 45; 35-18060, no. 9, p. 298; 35-18103, no. 15, p. 500
Faraday Laboratories, Inc., 34-10308, no. 7, p. 221
Farmer, James I., LR-5989, no. 6, p. 210; LR-6057, no. 13, p. 453
Farmers Equity Corporation, 34-10292, no. 6, p. 191
Farr, Charles H., LR-6074, no. 15, p. 518
Farrell, Edmund, 34-10434, no. 17, p. 571
Federated Purchaser, Inc., 34-10254, no. 3, p. 71
Feeney, Robert E., 34-10448, no. 19, p. 627
Feis, Robert, LR-6013, no. 8, p. 288; 34-10440, no. 18, p. 600
Feis Securities Co., 34-10440, no. 18, p. 600
Ferruggia, Gary T., 34-10446, no. 19, p. 626
Ferruggia, Lippman and Coyle, Inc., 34-10446, no. 19, p. 626
Fidelity Registrar & Transfer Co., LR-6090, no. 17, p. 590
Fiduciary Capital Corp., 34-10346, no. 9, p. 292
Fields, Emanuel, 33-5404, no. 1, pp. 1 and 3

Fifth Empire Fund, Inc., IC-8069, no. 20, p. 709
Fina, John Charles, LR-6029, no. 10, p. 339
Financial Methods, Inc., LR-5987, no. 6, p. 209; LR-6006, no. 7, p. 253; LR-6034, no. 10, p. 341
Financial Programs, Inc., IC-7970, no. 11, p. 372; IC-8012, no. 15, p. 514; IC-8032, no. 18, p. 610
First Bay Fund, IC-7873, no. 2, p. 53; IC-7907, no. 6, p. 206
First California Company, LR-5941, no. 1, p. 29; LR-5942, no. 1, p. 31; LR-5976, no. 5, p. 168
First Diversified Mutual Funds, Inc., IC-7906, no. 6, p. 206; IC-7953, no. 10, p. 336
First Home Investment Corporation of Kansas, Inc., IC-8054, 34-10467, IA-394, no. 20, p. 690; IC-8055, 34-10468, IA-395, no. 20, p. 691
First Hudson Securities Corporation, 34-10352, no. 10, p. 316
First Minneapolis Investment Corporation, 34-10426, SIPA-6, no. 17, p. 566
First National Corporation, LR-6103, no. 18, p. 619
First U. S. Corporation, LR-6032, no. 10, p. 340
First William Street Securities, Inc., 34-10294, no. 6, p. 192
Fischgrund, Stephen Irwin, 34-10261, no. 3, p. 87
Fisher, Harold L., LR-5968, no. 4, p. 154
Fisher Company, Inc., L. C., 34-10259, no. 3, p. 81; 35-10357, no. 10, p. 318; 34-10376, no. 12, p. 399
Fisher, Lyle C., 34-10259, no. 3, p. 81; 34-10357, no. 10, p. 318; 34-10376, no. 12, p. 399
Fitzhugh, Gilbert W., IC-8060, no. 20, p. 697
Five Star Foods Corporation of Maryland, 34-10432, no. 17, p. 570
Flaks, Stephen, 34-10308, no. 7, p. 221
Flaks, Zaslow & Co., Inc., 34-10308, no. 7, p. 221
Forman, Robert, 34-10433, no. 17, p. 570
Forster, Maxwell, LR-5939, no. 1, p. 29
Forsyth, Norman, LR-6121, no. 20, p. 714
Foster, Charles W., LR-6010, no. 7, p. 254
Foti, Louis S., LR-6039, no. 11, p. 375
Four Seasons Nursing Centers of America, Inc., LR-6067, no. 14, p. 487; LR-6073, no. 15, p. 518; 34-10441, no. 18, p. 600; 34-10469, no. 20, p. 663
Fox, Morris, 34-10475, no. 20, p. 667
Fox Securities Company, Inc., 34-10475, no. 20, p. 667
Fox & Co., W. A., 34-10346, no. 9, p. 292; LR-6049, no. 12, p. 412
Franklin National Bank, IC-7929, no. 8, p. 277
Franzen, Charleen, 34-10327, no. 8, p. 261
Freeman, Delbert A., LR-6012, no. 8, p. 288; LR-6026, no. 10, p. 338
French, John, II, LR-6056, no. 13, p. 452
Friedman & Co., 34-10472, no. 20, p. 664
Friedman, Albert, 34-10472, no. 20, p. 664
Friedman, Leonard, 34-10369, no. 11, p. 350; LR-6042, no. 11, p. 376
Friend, Ellis, 34-10355, no. 10, p. 317
Fund of America, Inc., IC-8003, no. 15, p. 504
Fundamatic Investors, Inc., IC-8022, no. 16, p. 540
Fundamental Oil Corporation of America, LR-6101, no. 18, p. 619
Fundamerica of Japan, Inc., IC-7937, no. 8, p. 284
Funds for People, Inc., 34-10224, no. 1, p. 5

G. L. Equities Corp., 34-10384, no. 13, p. 425
GNMA Mortgage-Backed Security Fund, The (Series 1 and Subsequent Series), IC-7934, no. 8, p. 279; IC-8042, no. 18, p. 616
GTR Management Company, Inc., LR-6101, no. 18, p. 619
Galarents, S. A., LR-6018, no. 9, p. 308; LR-6024, no. 9, p. 311; LR-6081, no. 16, p. 542
Galbraith, James M., IA-392, 34-10454, IC-8046, no. 19, p. 647
Galioto, Patricia, LR-6035, no. 10, p. 341
Gambino, Artie, LR-6013, no. 8, p. 288
Gammon, Wayne Howard, 34-10263, no. 3, p. 88
Gant, George A., LR-5983, no. 6, p. 208
Gardinier, Richard, LR-6122, no. 20, p. 715
Gardner, Philip, LR-6028, no. 10, p. 339
Garrison Growth Fund, The, IC-7876, no. 2, p. 54
Gatti, Alexander P., 34-10348, no. 9, p. 293
Gauthier, John T., LR-6033, no. 10, p. 341
Gaw, Charles Roy, 34-10447, no. 19, p. 626
Gaw, Lloyd Edward, 34-10447, no. 19, p. 626
Gebron, Joe, Jr., LR-6084, no. 16, p. 543

Gedalecia, Edward G., LR-6041, no. 11, p. 376
Gelber, Sidney, 34-10433, no. 17, p. 570
Gellis, Henry, 34-10294, no. 6, p. 192
General Foods Overseas Development Corporation, IC-8067, no. 20, p. 707
General Host Corp., LR-5973, no. 4, p. 165
General Public Utilities Corporation, 35-18024, no. 3, p. 103
General Time Corporation, LR-6114, no. 20, p. 711
Georgia Power Company, 35-18004, no. 1, p. 10; 35-18033, no. 5, p. 160; 35-18061, no. 10, p. 321; 35-18078, no. 11, p. 360; 35-18088, no. 13, p. 438; 35-18099, no. 14, p. 474
GeoTek Resources Fund, Inc., LR-6101, no. 18, p. 619
GeoTek Resources Fund, 69-1 [through 71-2], LR-6101, no. 18, p. 619
Geraci, Joseph A., III, LR-6005, no. 7, p. 252
Gerstner, Paul, 34-10458, no. 19, p. 631
Gilbert, George M., 34-10299, no. 6, p. 197
Glacier General Assurance Company, LR-6107, no. 19, p. 648
Glass, Theodore D., LR-6043, no. 11, p. 376
Glen-Arden Commodities, Inc., LR-6035, no. 10, p. 341
Glendale Securities Corporation, LR-6039, no. 11, p. 375
Global Securities, Inc., LR-5933, no. 1, p. 27
Gnapp, Robert V., LR-5982, no. 6, p. 208; LR-5993, no. 6, p. 211
Goff, Clyde, LR-5933, no. 1, p. 27
Goldblum, Stanley, LR-6122, no. 20, p. 715
Golden Gate Income Securities, Inc., IC-8059, no. 20, p. 695; IC-8060, no. 20, p. 697; IC-8061, no. 20, p. 698
Golden, Steven I., LR-6005, no. 7, p. 252
Goldfarb, Henry, 34-10465, no. 20, p. 656
Golding, Doyen D. (a/k/a Don), LR-5946, no. 2, p. 62; LR-5985, no. 6, p. 208
Goldman, Joseph, 34-10238, no. 2, p. 34
Goldstein, Harold, LR-5935, no. 1, p. 28
Goldstein, Samuelson, Inc., LR-5935, no. 1, p. 28
Goldy, Charles S., 34-10433, no. 17, p. 570
Gompers, Alan, 34-10273, no. 4, p. 139
Good, Samuel P., Jr., LR-6008, no. 7, p. 253
Goodman, Paul, 34-10327, no. 8, p. 261
Goodman, William J., 34-10306, IA-387, no. 7, p. 220
Goodwin, Harold John, LR-6060, no. 14, p. 485
Gore, Arthur, 34-10399, no. 14, p. 470
Goren, Stephen, 34-10252, no. 3, p. 70; 34-10261, no. 3, p. 87
Goss, Cadmus L. G., LR-6020, no. 9, p. 309; LR-6093, no. 17, p. 591
Gotham Securities Corp., 34-10405, no. 15, p. 495
Government Guaranteed Securities Corporation, 34-10473, no. 20, p. 665
Governmental Statistical Corporation, 34-10374, IA-390, no. 12, p. 399
Graham, Charles E., Jr., LR-5938, no. 1, p. 29; LR-6031, no. 10, p. 340
Grand Targhee Resort, Inc., LR-6050, no. 13, p. 450
Grandia, Dino, LR-6062, no. 14, p. 486
Granite State Electric Company, 35-18154, no. 20, p. 686
Graphic Arts Data Systems, Inc., LR-5966, no. 4, p. 154
Gray, Maxel V., 34-10230, no. 1, p. 8
Gray, Thomas J., LR-6073, no. 15, p. 518
Gray, Vernon Leroy, 34-10230, no. 1, p. 8
Greeff, Pieter, LR-6056, no. 13, p. 452
Green, Alan Lewis, LR-6122, no. 20, p. 715
Green Brothers Warehouse Furniture Company, Inc., LR-5968, no. 4, p. 154
Greenbaum, Ronald, 34-10261, no. 3, p. 87
Greenberg, Martin, LR-6010, no. 7, p. 254
Greenberg, Morris, 34-10346, no. 9, p. 292
Greenberg, Richard, 34-10273, no. 4, p. 139
Greene, Frank J., LR-5934, no. 1, p. 28
Gross, Gary O., LR-6107, no. 19, p. 648
Grove, Kenneth H., LR-5982, no. 6, p. 208
Grubb, Stephen, LR-6060, no. 14, p. 485
Gulf Investing Corporation, LR-6115, no. 20, p. 712; LR-6119, no. 20, p. 713
Gulf Power Company, 35-18078, no. 11, p. 360; 35-18099, no. 14, p. 474

Haber, Eugene, LR-6010, no. 7, p. 254
Haburay, Michael, LR-5961, no. 3, p. 111

Haffenden-Rimar International, Inc., LR-6022, no. 9, p. 310; LR-6061, no. 14, p. 486
Hagler, Stephen, LR-6105, no. 18, p. 620
Halberstam, Arthur, 34-10346, no. 9, p. 292
Hale Securities Corp., 34-10273, no. 4, p. 139
Hall, William A., III, LR-6109, no. 19, p. 649
Hallauer, Fred W., LR-5983, no. 6, p. 208
Halma, George H., 34-10448, no. 19, p. 627
Hamilton Growth Fund, Inc., IC-7868, no. 1, p. 18; IC-7898, no. 5, p. 165
Hamilton Management Corporation, IC-7868, no. 1, p. 18; IC-7898, no. 5, p. 165
Hammarth, James Joseph, LR-5937, no. 1, p. 28
Hammer, Wallace L., LR-5946, no. 2, p. 62; LR-5985, no. 6, p. 208
Hardy, Andy F., LR-6019, no. 9, p. 309; LR-6037, no. 10, p. 342
Hargrove, Dudley W., Sr., LR-5980, no. 5, p. 170
Harmony Loan Company, Inc., LR-5968, no. 4, p. 154
Harris, Stephen M., 34-10306, IA-387, no. 7, p. 220
Harris-Upham Tax-Exempt Fund, IC-8011, no. 15, p. 511; IC-8045, no. 19, p. 646
Harrison, Thomas F., 34-10464, no. 20, p. 655
Harte, Michael J., 34-10352, no. 10, p. 316
Hartford Electric Light Company, The, 35-18009, no. 1, p. 16; 35-18084, no. 12, p. 401; 35-18130, no. 18, p. 609
Hartford Equity Sales Company, Inc., IC-8018, no. 16, p. 536
Haukedahl, Mark S., LR-6043, no. 11, p. 376
Haws, Erwin M., LR-5958, no. 3, p. 110
Haws, J. Wayne, LR-5958, no. 3, p. 110
Health Evaluation Systems, Inc., LR-5991, no. 6, p. 210; LR-5992, no. 6, p. 211
Hearn, James, LR-5961, no. 3, p. 111
Heckman, Herbert L., 34-10355, no. 10, p. 317
Heitzler, George, LR-5968, no. 4, p. 154
Helm, Rufus G., LR-6020, no. 9, p. 309
Hendricks, Walter E., LR-5946, no. 2, p. 62; LR-5985, no. 6, p. 208
Henshaw, Frank T., LR-6022, no. 9, p. 310; LR-6061, no. 14, p. 486
Herbert, Alfred, LR-5991, no. 6, p. 210
Heritage Securities Corporation, 34-10449, no. 19, p. 627
Herko, Robert W., 34-10446, no. 19, p. 626
Hershey Corporation, The, 34-10303, IC-7910, IA-386, no. 6, p. 200
Hershey Fund, Inc., R. S., 34-10303, IC-7910, IA-386, no. 6, p. 200; IC-7997, no. 14, p. 479; IC-8040, no. 18, p. 615
Hershey, Robert Scott, 34-10303, IC-7910, IA-386, no. 6, p. 200
Herzberg, Arthur, LR-6075, no. 15, p. 518; LR-6116, no. 20, p. 713
Higgs, Inc., 34-10299, no. 6, p. 197
Hill, Faye, LR-6091, no. 17, p. 591
Hill, Curtin & Ackroyd, Inc., LR-6003, no. 7, p. 251
Hi-Planes, Ltd., LR-5958, no. 3, p. 110
Hodge, Samuel D., LR-5933, no. 1, p. 27
Hoffman, Ephraim, LR-5933, no. 1, p. 27
Holiday Magic, Inc., LR-5961, no. 3, p. 111
Holt, Co. Michael, 34-10355, no. 10, p. 317
Homans, Arthur J., LR-6041, no. 11, p. 376
Home-Stake 1964 (through 1972) Program Operating Corporation, LR-6055, no. 13, p. 452; LR-6071, no. 15, p. 517; LR-6118, no. 20, p. 713
Home-Stake Production Company, LR-6055, no. 13, p. 452; LR-6077, no. 15, p. 519; LR-6118, no. 20, p. 713
Home-Stake Securities Company, LR-6055, no. 13, p. 452; LR-6071, no. 15, p. 517
Hopps, Stewart, LR-6103, no. 18, p. 619
Horne & Co., Inc., T. C., 34-10350, no. 10, p. 315
Horne, Thomas C., 34-10350, no. 10, p. 315
Horsey, Roy M., LR-6041, no. 11, p. 376
Hosco, Inc., LR-6033, no. 10, p. 341
House of Knowledge, Inc., LR-6021, no. 9, p. 310; LR-6076, no. 15, p. 519
Howe, Particia M., 34-10406, no. 15, p. 495
Hunsaker, Stephen, 34-10369, no. 11, p. 350; LR-6042, no. 11, p. 376
Hyman, Irwin, LR-6105, no. 18, p. 620
Hyman, William, 34-10273, no. 4, p. 139
Hynes & Howes Insurance Counselors, Inc., LR-6098, no. 17, p. 592
Hynes & Howes Real Estate, Inc., LR-6097, no. 17, p. 592
Hynes & Howes Securities Company, LR-6096, no. 17, p. 592

ICM Equity Fund, Inc., IC-7943, no. 9, p. 302; IC-7984, no. 13, p. 445

ICM Financial Fund, Inc., IC-7944, no. 9, p. 302; IC-7983, no. 13, p. 445
IDS Growth Fund, Inc., IC-7992, no. 13, p. 448
IDS Life Insurance Company, IC-7974, no. 12, p. 404; IC-7992, no. 13, p. 448; IC-7996, no. 14, p. 478; IC-8019, no. 16, p. 537; IC-8037, no. 18, p. 614
IDS Life Variable Annuity Fund A, IC-7974, no. 12, p. 404; IC-7992, no. 13, p. 448; IC-7996, no. 14, p. 478; IC-8019, no. 16, p. 537
IDS Life Variable Annuity Fund B, IC-7992, no. 13, p. 448; IC-8037, no. 18, p. 614
IDS New Dimensions Fund, Inc., IC-7887, no. 3, p. 106; IC-7904, no. 6, p. 205; IC-7962, no. 11, p. 367; IC-7992, no. 13, p. 448
IDS/Nuveen Income Trust, Series 1 and Subsequent Series, IC-7903, no. 5, p. 167; IC-7931, no. 8, p. 278
IDS Progressive Fund, Inc., IC-7962, no. 11, p. 367; IC-7992, no. 13, p. 448
I.F.S. Investors Services, Inc., 34-10407, no. 15, p. 495
Iberia Inmobiliaria Internacional, Inc., LR-6018, no. 9, p. 308; LR-6024, no. 9, p. 311; LR-6081, no. 16, p. 542
Imperial Land Corporation, 34-10447, no. 19, p. 626
Income Tax Free Revenue Fund Series 1, IC-8036, no. 18, p. 613
Index Fund, Inc., IC-7936, no. 8, p. 283; IC-7978, no. 12, p. 406
Indiana & Michigan Electric Company, 35-18014, no. 2, p. 47; 35-18147, no. 20, p. 678
Industries Exchange Fund, Inc., IC-7891, no. 4, p. 149; IC-7930, no. 8, p. 278
InnoVen Capital Corporation, IC-7965, no. 11, p. 369; IC-8031, no. 17, p. 589
Instructional Enterprises, Inc., LR-5961, no. 3, p. 111
Inter-Fed Travel Services, LR-6018, no. 9, p. 308
Inter-Fed Travel Services Association, Inc., LR-6018, no. 9, p. 308; LR-6024, no. 9, p. 311; LR-6081, no. 16, p. 542
International Communication Corp., LR-6060, no. 14, p. 485
International Hydrolines, Inc., 34-10446, no. 19, p. 626
International Magfilm, Inc., LR-6120, no. 20, p. 714
International Scanning Devices, Inc., 34-10457, no. 19, p. 631
International Technology & National Resources, LR-6056, no. 13, p. 452
Inventure Capital Corporation, IC-8068, no. 20, p. 708
Investment Company of America, The, IC-8027, no. 17, p. 584; IC-8056, no. 20, p. 693
Investment Diversified Limited, LR-6068, no. 14, p. 488
Investors Diversified Services, Inc., IC-7992, no. 13, p. 448; LR-6065, no. 14, p. 486
Investors Mutual, Inc., IC-7992, no. 13, p. 448
Investors Selective Fund, Inc., IC-7992, no. 13, p. 448
Investors Stock Fund, Inc., IC-7992, no. 13, p. 448
Investors Syndicate of America, Inc., IC-7992, no. 13, p. 448
Investors Variable Payment Fund, Inc., IC-7992, no. 13, p. 448; IC-7962, no. 11, p. 367
Iroquois Gas Corporation, 35-18062, no. 10, p. 322
Israel International Corporation, LR-6079, no. 16, p. 541
Ivest Fund, Inc., IC-8017, no. 15, p. 516
J. B. Oil Company, LR-6101, no. 18, p. 619
JIM Mortgage Brokers, Inc., 34-10407, no. 15, p. 495
Jackson, Lawrence H., LR-6010, no. 7, p. 254
Jackson, Neva J., LR-5946, no. 2, p. 62; LR-5985, no. 6, p. 208
Jaffe Fund, Inc., The, 34-10314, IA-388, IC-7917, no. 7, p. 288
Jager, Jerry F., LR-6103, no. 18, p. 619
Jama Productions, Inc., LR-6106, no. 18, p. 621
James, Peter, LR-6120, no. 20, p. 714
Jensen, Bruce A., LR-6063, no. 14, p. 486
Jensen, James A., 34-10407, no. 15, p. 495
Jersey Central Power & Light Company, 35-18016, no. 2, p. 50; 35-18024, no. 3, p. 103; 35-18034, no. 5, p. 161; 35-18049, no. 7, p. 238; 35-18083, no. 12, p. 401; 35-18091, no. 13, p. 440; 35-18117, no. 17, p. 576; 35-18141, no. 20, p. 673
John Hancock Bond Fund, Inc., IC-8001, no. 15, p. 502; IC-8050, no. 20, p. 688
John Hancock Distributors, Inc., IC-8001, no. 15, p. 502; IC-8050, no. 20, p. 688
Johnson, A. Bennett, 34-10258, no. 3, p. 79
Johnson, Howard Nicholas, LR-6048, no. 12, p. 412; LR-6082, no. 16, p. 542
Jones and Associates, Gary L., LR-5981, no. 5, p. 170
Journigan, James J., LR-6104, no. 18, p. 620
Jundt, James R., LR-6065, no. 14, p. 486

Kaplan, Morton S., LR-5971, no. 4, p. 155
Kaplan, Yale, LR-6107, no. 19, p. 648
Kathol, Gerald J., LR-5954, no. 3, p. 109
Kathol Petroleum, Inc., LR-5954, no. 3, p. 109
Katz, Edward J., 34-10335, no. 8, p. 268

Katz, Howard E., LR-6079, no. 16, p. 541
Katz, Marvin, LR-6005, no. 7, p. 252
Keese, Dennis M., LR-6068, no. 14, p. 488
Kekaha Sugar Company, Limited, 35-18044, no. 7, p. 234; 35-18075, no. 11, p. 357
Keller, Lester M., LR-6122, no. 20, p. 715
Kellogg, Kenneth H., 34-10355, no. 10, p. 317
Kelly, Andrews & Bradley Inc., 34-10411, no. 15, p. 499
Kern, Allen G., LR-6017, no. 9, p. 307
Kevin Securities Corp., 34-10458, no. 19, p. 631
Kight, H. Tom, III, 34-10469, no. 20, p. 663
Killean, James, LR-6007, no. 7, p. 253
Kirsch, Arthur J., LR-6020, no. 9, p. 309; 34-10448, no. 19, p. 627
Kirsch, Chandler, Feeney & Co., LR-6020, no. 9, p. 309
Kittredge, David R., IA-392, 34-10454, IC-8046, no. 19, p. 647
Klavir, Bernard, LR-6078, no. 15, p. 519
Klee & Company, LR-6030, no. 10, p. 340; LR-6052, no. 13, p. 450
Kleiner Bell & Co., Inc., LR-5973, no. 4, p. 165
Kletchka, Harold D., LR-6038, no. 10, p. 342
Kline, Joel, LR-6016, no. 9, p. 307; LR-6058, no. 13, p. 454; LR-6113, no. 20, p. 711
Kolbert, Robert, LR-6105, no. 18, p. 620
Kong, Raymond, 34-10265, no. 3, p. 90
Konisberg, Robert Isaac, 34-10261, no. 3, p. 87
Koss Securities Corporation, LR-6105, no. 18, p. 620
Koss, Theodore, LR-6105, no. 18, p. 620
Kozen, I. James, 34-10265, no. 3, p. 90
Kroneberger, Michael J., 34-10448, no. 19, p. 627
Kubie, Joel, LR-5933, no. 6, p. 209
Kuehm, Fred L. G., 34-10402, no. 15, p. 493

Lady Goldie Bracelets Co., Inc., LR-5992, no. 6, p. 211
LaGrange, A. Wallace, LR-6004, no. 7, p. 252
Lake Havasu Estates, 34-10284, no. 4, p. 142
La Marca, Michael, LR-6089, no. 7, p. 590
Lamb Fund, IC-7874, no. 2, p. 53
Lamonica, Joseph, LR-6035, no. 10, p. 341
Lange, Donald C., LR-6104, no. 18, p. 620
Langenauer, Allen, LR-5933, no. 1, p. 27
Langenauer, David, LR-5933, no. 1, p. 27
Lantree, Daniel, 34-10261, no. 3, p. 87
Lassoff, Harold, LR-6105, no. 18, p. 620
Lawrence Gas Company, 35-18154, no. 20, p. 686
Layne, Erwin, LR-6105, no. 18, p. 620
Leadership Dynamics Institute, Inc., LR-5961, no. 3, p. 111
League Life Separate Account No. 1, IC-7969, no. 9, p. 372; IC-8010, no. 15, p. 511
Le Chateau Inn & Country Club, Inc., 33-5432, no. 18, p. 597
Lee, Robert E., LR-6015, no. 8, p. 288; LR-6025, no. 10, p. 338
Leeds, Lionel, LR-6010, no. 7, p. 254
Lehman Brothers Incorporated, IC-7940, no. 9, p. 299; LR-6065, no. 14, p. 486; IC-8015, no. 15, p. 515
Leit, Sol, LR-5988, no. 6, p. 209
Lemke, Larry, LR-6010, no. 7, p. 254
Lemoine, Eugene F., 34-10433, no. 17, p. 570
Leonard Brothers, Inc., 34-10443, no. 18, p. 601
Leonard, Charles I., 34-10443, no. 18, p. 601
Leonard, Joseph, IC-7921, no. 7, p. 246; IC-7968, no. 11, p. 371; IC-8058, no. 20, p. 694
Levenberg, Gary, 34-10261, no. 3, p. 87; 34-10359, no. 10, p. 319
Levin, Fred, LR-6122, no. 20, p. 715
Levin, Robert B., LR-5979, no. 5, p. 169
Levine, Arthur, LR-5988, no. 6, p. 209
Levine, Irving, IC-8068, no. 20, p. 708
Levine, Louis, LR-5979, no. 5, p. 169
Levy, Emmanuel, LR-6023, no. 9, p. 311
Levy, William N., LR-5933, no. 1, p. 27
Lewis, Artnur Stanley, LR-6122, no. 20, p. 715
Lewis and Company, Benjamin, 34-10380, no. 13, p. 424

Lewis, Mark Charles, LR-6122, no. 20, p. 715
Lewis, Richard Lanier, LR-6059, no. 13, p. 454
Lexington Growth Fund, Inc., IC-8029, no. 17, p. 586
Lexington Research Fund, Inc., IC-8029, no. 17, p. 586
Lichtenstein, Gary L., 34-10346, no. 9, p. 292
Lichtenstein, Lester, 34-10346, no. 9, p. 292
Lichtig, Marvin Al, LR-6122, no. 20, p. 715
Liggett & Myers, Incorporated, LR-5943, no. 2, p. 61; LR-6124, no. 20, p. 716
Lihue Plantation Company, Limited, The, 35-18044, no. 7, p. 234; 35-18075, no. 11, p. 357
Linder, Lee, LR-6013, no. 8, p. 288
Lipper Corp., Arthur, LR-5994, no. 6, p. 212
Lippman, Mark L., 34-10446, no. 19, p. 626
Lipska, Harold A., LR-5961, no. 3, p. 111
Loeb, David, LR-6035, no. 10, p. 341
Loeb, Rhoades & Co., IC-8026, no. 17, p. 582
Loffman, Charles, LR-6035, no. 10, p. 341
Logos Development Corp., 34-10253, no. 3, p. 70; 34-10261, no. 3, p. 87; 34-10359, no. 10, p. 319; 34-10450, no. 19, p. 627
London, William G., LR-6078, no. 15, p. 519
Loomis-Sayles Canadian and International Fund Ltd., IC-7881, no. 2, p. 59
Losey, David, LR-6035, no. 10, p. 341
Louisiana Power & Light company, 35-18051, no. 8, p. 269; 35-18063, no. 10, p. 324; 35-18070, no. 11, p. 353; 35-18097, no. 14, p. 472; 35-18131, no. 19, p. 633
LoVaca Gathering Company, LR-6054, no. 13, p. 451
Lowell, Samuel B., LR-6122, no. 20, p. 715
Lum's, LR-6065, no. 14, p. 486
Lundy Associates, M. A., LR-5963, no. 3, p. 112
Lundy, Maurice A., LR-5963, no. 3, p. 112
Lynn Gas Company, 35-18154, no. 20, p. 686
Lynn, Robert, LR-5988, no. 6, p. 209

MML Investment Company, Inc., IC-7879, no. 2, p. 58
MacClain, Eddie L., LR-6021, no. 9, p. 310; LR-6076, no. 15, p. 519
MacLane Gas Company, 35-18007, no. 1, p. 12; 35-18119, no. 17, p. 578
Mac-Vee Investment Company, Inc., 34-10407, no. 15, p. 495
Major D Corporation, LR-6011, no. 8, p. 288
Mallory, Milo E., 34-10244, no. 2, p. 36
Mallory, Sterling D., 34-10244, no. 2, p. 36
Maloney, Neil G., LR-6004, no. 7, p. 252
Management Dynamics, Inc., LR-5933, no. 1, p. 27
Manchester & Co., Inc., M. J., 34-10384, no. 13, p. 425
Mancuso, Louis, 34-10278, no. 4, p. 139
Manning, Daniel E., LR-6078, no. 15, p. 519
Marando, Joseph, LR-6013, no. 8, p. 288
Marasol Properties, LR-6018, no. 9, p. 308; LR-6024, no. 9, p. 311; LR-6081, no. 16, p. 542
Marcon Electronics Corp., 34-10405, no. 15, p. 495
Margolin, Nathan M., LR-6005, no. 7, p. 252
Margolin, Stuart, LR-6005, no. 7, p. 252
Mariculture Growth Industries, Inc., 33-5407, no. 5, p. 157
Marketing Enterprises, Inc., LR-5961, no. 3, p. 111
Marketing Specialists, Inc., LR-6007, no. 7, p. 253; 34-10448, no. 19, p. 627
Marlin Investment Co., LR-6017, no. 9, p. 307
Marroso, Samuel J., LR-6004, no. 7, p. 252
Masiello, James John, LR-6029, no. 10, p. 339
Massachusetts Bay Income Shares, IC-7886, no. 3, p. 106; IC-7916, no. 7, p. 244
Massachusetts Electric Company, 35-18095, no. 13, p. 443; 35-18120, no. 17, p. 579; 35-18154, no. 20, p. 686
Massachusetts Gas System, 35-18133, no. 19, p. 635; 35-18133, no. 19, p. 638; 35-18149, no. 20, pp. 680 and 681; 35-18154, no. 20, p. 686
Massachusetts Mutual Life Insurance Company, IC-7879, no. 2, p. 58; IC-7948, no. 10, p. 329; IC-8034, no. 18, p. 612; IC-8064, no. 20, p. 702
Massachusetts Mutual Variable Annuity Fund 1, IC-7879, no. 2, p. 58
Massachusetts Mutual Variable Annuity Fund 2, IC-7948, no. 10, p. 329; IC-7994, no. 14, p. 477
Mathews, James J., LR-5968, no. 4, p. 154
Mathews, Thomas R., LR-5968, no. 4, p. 154

Matre, Inc., LR-6062, no. 14, p. 486
Mayflower Securities, Inc., LR-5933, no. 1, p. 27; 34-10405, no. 15, p. 495
McAlpine, Johnnie Edward, LR-6092, no. 17, p. 591
McAlpine Oil Company, LR-6092, no. 17, p. 591
McBroom, James, 34-10448, no. 19, p. 627
McCartney, Ian, LR-6041, no. 11, p. 376
McCaul, Thomas V., Jr., 34-10231, no. 1, p. 8
McClellan, Donald, LR-6122, no. 20, p. 715
McCreary, Thomas W., 34-10407, no. 15, p. 495
McCullough, James F., LR-5953, no. 3, p. 109
McDowell, Robert Barry, LR-6029, no. 10, p. 339
McFarland, Jerry A., LR-6075, no. 15, p. 518; LR-6116, no. 20, p. 713
McGee, William LR-6105, no. 18, p. 620
McGivney and Company, Inc., 34-10306, IA-387, no. 7, p. 220
McGivney, Joseph P., 34-10306, IA-387, no. 7, p. 220
McGivney and Associates, Inc., Joseph P., 34-10306, IA-387, no. 7, p. 220
McLaren, William J., 34-10307, no. 7, p. 220
McLaughlin, James L., LR-6005, no. 7, p. 252
McLeod, William, 34-10431, no. 17, p. 569
McNab, James R., Jr., LR-6109, no. 19, p. 649
Medford, David A., LR-5932, no. 1, p. 27; LR-5967, no. 4, p. 154
Memme & Co., Inc., LR-6029, no. 10, p. 339
Mercado, William, LR-6122, no. 20, p. 715
Mercury Savings and Loan Association, LR-6066, no. 14, p. 487
Meridian Fast Food Services, Inc., LR-5990, no. 6, p. 210
Merrill Lynch, Pierce, Fenner and Smith, Inc., 34-10233, no. 1, p. 9
Merrill Lynch, Pierce, Fenner and Smith, S. A., IC-7937, no. 8, p. 284
Merriman, Calvin A., 34-10367, no. 11, p. 349
Metropolitan Edison Company, 35-18056, no. 9, p. 293; 35-18093, no. 13, p. 442; 35-18113, no. 17, p. 573; 35-18148, no. 20, p. 679
Meyer, Jerry A., LR-6005, no. 7, p. 252
Michael, John David, LR-6032, no. 10, p. 340
Michigan Consolidated Gas Company, 34-18011, no. 2, p. 44; 35-18055, no. 8, p. 272; 35-18081, no. 11, p. 361
Michigan Power Company, 35-18007, no. 1, p. 12; 35-18119, no. 17, p. 578
Michigan Wisconsin Pipe Line Company, 35-18029, no. 4, p. 145; 35-18038, no. 6, p. 201; 35-10846, no. 7, p. 236; 35-18050, no. 7, p. 239; 35-18073, no. 11, p. 356
Micro-Scan Systems, Inc., 34-10458, no. 19, p. 631
Microthermal Applications, Inc., LR-5971, no. 4, p. 155; LR-5992, no. 6, p. 211; LR-6085, no. 16, p. 543
Middle South Services, 35-18051, no. 8, p. 269; 35-18070, no. 11, p. 353
Middle South Utilities, 35-18008, no. 1, p. 14; 35-18043, no. 7, p. 233; 35-18045, no. 7, p. 235; 35-18051, no. 8, p. 269; 35-18063, no. 10, p. 324; 35-18064 and 35-18065, no. 10, p. 326; 35-18070, no. 11, p. 353; 35-18097, no. 14, p. 472; 35-18131, no. 19, p. 633; 35-18135, no. 19, p. 642; 35-18139, no. 20, p. 671
Midkiff, James E., LR-6115, no. 20, p. 712; LR-6119, no. 20, p. 713
Midland Basic, Inc., IC-8030, no. 17, p. 588
Midland Equity Corporation, LR-5937, no. 1, p. 28
Milana, Joe, 34-10405, no. 15, p. 495
Milbank Trading Co., Inc., LR-6035, no. 10, p. 341
Milbank Trading Co. of Conn., Inc., LR-6035, no. 10, p. 341
Millard, Paul R., LR-5949, no. 2, p. 63
Miller, Fred, 34-10411, no. 15, p. 499
Mills, Karlyn D., LR-6088, no. 17, p. 590
Millstone Point Company, The, 35-18084, no. 12, p. 401
Milton, William John Eret, LR-6060, no. 14, p. 485
Minuto, Maurice, LR-5962, no. 3, p. 112
Mississippi Fuel Company, 35-18010, no. 2, p. 43; 35-18039, no. 6, p. 202
Mississippi Power Company, 35-18078, no. 11, p. 360; 35-18098, no. 14, p. 473; 35-18099, no. 14, p. 474; 35-18121, no. 17, p. 579
Mississippi Power & Light Company, 35-18010, no. 2, p. 43; 35-18039, no. 6, p. 202; 35-18045, no. 7, p. 235; 35-18063, no. 10, p. 324; 35-18064, no. 10, p. 326; 35-18097, no. 14, p. 472; 35-18153, no. 20, p. 685
Mize, Martha K., LR-6032, no. 10, p. 340
Mjaaland, Kenneth, LR-5946, no. 2, p. 62; LR-5985, no. 6, p. 208
Monarch Fund, LR-6056, no. 13, p. 452
Monarch General, Inc., 34-10308, no. 7, p. 221
Monongahela Power Company, 35-18023, no. 3, p. 102; 35-18041, no. 6, p. 203; 35-18069, no. 11, p. 351; 35-18107, no. 16, p. 534; 35-18145, no. 20, p. 676

Montaup Electric Company, 35-18012, no. 2, p. 45; 35-18060, no. 9, p. 298; 35-18103, no. 15, p. 500
Monterey Utilities Corporation, 35-18069, no. 11, p. 351
Moody's Capital Fund, Inc., IC-7911, no. 7, p. 24; IC-7963, no. 11, p. 369
Moore, Kellogg and Sparks, Inc., 34-10355, no. 10, p. 317
Moore, Roland S., 34-10355, no. 10, p. 317
Moore, Vaughn V., LR-5944, no. 2, p. 61
Morgan Growth Fund, Inc., W. L., IC-8017, no. 15, p. 516
Morgan Kennedy & Co., Inc., LR-5955, no. 3, p. 110; LR-6123, no. 20, p. 716
Morgens, Edwin H., LR-6056, no. 13, p. 452
Morgens, Waterfall & Co., Inc., LR-6056, no. 13, p. 452
Morrell, Merrill, LR-6102, no. 18, p. 619
Mosher, Inc., IC-8057, no. 20, p. 693
Mount Everest Corporation, LR-6079, no. 16, p. 541
Mount Everest Financial Corporation, LR-6079, no. 16, p. 541
Mount Everest Mint, The, LR-6079, no. 16, p. 541
Mountain States Development Company, LR-5938, no. 1, p. 29; LR-6031, no. 10, p. 340; LR-6111, no. 19, p. 650
Multi-Media Engineering, Inc., LR-6033, no. 10, p. 341
Munzer, Frederick, 34-10294, no. 6, p. 192
Murphy, James, 34-10399, no. 14, p. 470
Mustang Fuel Corp., 35-18039, no. 6, p. 202
Mutual Benefit Financial Service Company, IC-8008, no. 15, p. 508
Mutual Benefit Life Insurance Company, The, IC-8008, no. 15, p. 508
Mutual Benefit Variable Contract Account-2, IC-8008, no. 15, p. 508
Mutual Benefit Variable Contract Account-3, IC-8008, no. 15, p. 508
Mutual Securities Fund of Boston, IC-7921, no. 7, p. 246; IC-7968, no. 11, p. 371; IC-8058, no. 20, p. 694
Mydanick, Stephen J., LR-6078, no. 15, p. 519
Myers, W. Clinton, 34-10355, no. 10, p. 317
Mystic Valley Gas Company, 35-18154, no. 20, p. 686

NEL Equity Fund, Inc., IC-8002, no. 15, p. 503
NEL Equity Services Corporation, IC-8002, no. 15, p. 503
NEL Growth Fund, Inc., IC-8002, no. 15, p. 503
NEL Income Fund, Inc., IC-8002, no. 15, p. 503
NFG Gas Corporation, 35-18062, no. 10, p. 322
Nadino. Anthony, LR-5933, no. 1, p. 27
Naess, Thomas Special Fund, Inc., LR-6056, no. 13, p. 452
Naftalin & Co., Inc., 34-10229, no. 1, p. 7
Naftalin, Neil T., 34-10229, no. 1, p. 7
Nagler, Robert, LR-5939, no. 1, p. 29
Nagler-Weissman & Co., LR-5939, no. 1, p. 29
Namee, Sam J., IC-8055, 34-10468, IA-395, no. 20, p. 691
Nardone, Leo, 34-10446, no. 19, p. 626
Narragansett Electric Company, The, 35-18114, no. 17, p. 573; 35-18154, no. 20, p. 686
Nashville Securities Company, 34-10461, no. 20, p. 653
National Bank of North America, IC-7929, no. 8, p. 277
National Corporation for Housing Partnerships, IC-7947, no. 9, p. 305; IC-7990, no. 13, p. 447
National Enterprises, Inc., LR-6120, no. 20, p. 714
National Fuel Gas Company, 35-18062, no. 10, p. 322
National Housing Partnership, The, IC-7947, no. 9, p. 305; IC-7990, no. 13, p. 447
Natural Resource Management Corp., LR-6109, no. 19, p. 649
Nell, Don, LR-6121, no. 20, p. 714
Nemelka, David R., LR-5949, no. 2, p. 63
Nerenberg, Jack, 34-10224, no. 1, p. 5
Nerenberg, Lois, 34-10224, no. 1, p. 5
Neuwirth Century Fund, Inc., IC-7961, no. 11, p. 366; IC-7998, no. 14, p. 480
Neuwirth Financial Corporation, IC-7961, no. 11, p. 366; IC-7998, no. 14, p. 480
Neuwirth Fund, Inc., IC-7961, no. 11, p. 366; IC-7998, no. 14, p. 480
Neuwirth Income Development Corporation, IC-7961, no. 11, p. 366; IC-7998, no. 14, p. 480
Neuwirth Management and Research Corporation, IC-7961, no. 11, p. 366
New America Fund, Inc., IC-7878, no. 2, p. 57; IC-7914, no. 7, p. 243
New England Electric System, 35-18031, no. 4, p. 146; 35-18052, no. 8, p. 270; 35-18094, no. 13, p. 442; 35-18108, no. 16, p. 535; 35-18114, no. 17, p. 573; 35-18132, no. 19, p. 634; 35-18133, no. 19, p. 635; 35-18133, no. 19, p. 638; 35-18140, no. 20, p. 672; 35-18149, no. 20, pp. 680 and 681; 35-18154, no. 20, p. 686
New England Life Side Fund, Inc., IC-8002, no. 15, p. 503

New England Mutual Life Insurance Company, IC-8002, no. 15, p. 503
New England Power Company, 35-18031, no. 4, p. 146; 35-18052, no. 8, p. 270; 35-18132, no. 19, p. 634; 35-18154, no. 20, p. 686
New Issues Fund, Inc., IC-7949, no. 10, p. 331; IC-7995, no. 14, p. 478
New Jersey Power & Light Company, 35-18016, no. 2, p. 50; 35-18024, no. 3, p. 103
New Life Trust, Inc., LR-6020, no. 9, p. 309; 34-10355, no. 10, p. 317; LR-6093, no. 17, p. 591; 34-10448, no. 19, p. 627
New Orleans Public Service, Inc., 35-18063, no. 10, p. 324; 35-18097, no. 14, p. 472
New York Staff Trust, IC-7923, no. 7, p. 249; IC-7967, no. 11, p. 371
New York Workers' Trust, IC-7923, no. 7, p. 249; IC-7967, no. 11, p. 371
Newirth, Irving, LR-6033, no. 10, p. 341
Newton Investment Corp., 34-10335, no. 8, p. 268
Nocera, Roland R., LR-5961, no. 3, p. 111
Nocton, Hugh, 34-10448, no. 19, p. 627
Nomura Securities Co., Ltd., IC-7937, no. 8, p. 284
Nomura Securities Co., Ltd. of Japan, IC-8027, no. 17, p. 584
Normandie Trust Company (Panama), LR-6004, no. 7, p. 252
North Atlantic Corporation, 34-10239, no. 2, p. 34
North Shore Gas Company, 35-18154, no. 20, p. 686
Northeast Utilities, 35-18009, no. 1, p. 16; 35-18084, no. 12, p. 401
Northeast Utilities Service Company, 35-18009, no. 1, p. 16
Northwest Guaranty Savings and Loan Association, LR-5982, no. 6, p. 208; LR-5993, no. 6, p. 211; LR-6039, no. 11, p. 375
Nuveen Income Fund, Series 1, Monthly Payment Plan and Subsequent Series, IC-7903, no. 5, p. 167; IC-7931, no. 8, p. 278
Nuveen & Co., Incorporated, John, IC-7903, no. 5, p. 167; IC-7931, no. 8, p. 278

OKC Investments, Inc., 34-10441, no. 18, p. 600
Oahu Sugar Company, Limited, 35-18044, no. 7, p. 234; 35-18075, no. 11, p. 357
O'Brien, Jack E., LR-5970, no. 4, p. 155
O'Connell, Suzanne B., LR-6062, no. 14, p. 486
O'Connor, William J., 34-10265, no. 3, p. 90
Oehler, Maurice L., 34-10448, no. 19, p. 627
Ogiela, Frank, 34-10399, no. 14, p. 470
Ohio Edison Company, 35-18047, no. 7, p. 237; 35-18086, no. 12, p. 403; 35-18092, no. 13, p. 441; 35-18122; no. 17, p. 581; 35-18122-A, no. 18, p. 602; 35-18124, no. 18, p. 603; 35-18126, no. 18, p. 605; 35-18144, no. 20, p. 675
Ohio Power Company, 35-18005, no. 1, p. 10; 35-18018, no. 2, p. 51; 35-18028, no. 4, p. 144; 35-18032, no. 4, p. 147; 35-18100, no. 14, p. 475; 35-18106, no. 16, p. 533; 35-18152, no. 20, p. 685
Ohio Valley Gas Company, The, 35-18143, no. 20, p. 674
Olsen, Douglas A., LR-6038, no. 10, p. 342
O'Neill Enterprises, Inc., 34-10305, no. 7, p. 219
Opdycke, Wallace E., 34-10257, no. 3, p. 75
Oppenheimer A.I.M. Fund, Inc., IC-7919, no. 7, p. 245; IC-7964, no. 11, p. 369
Orecraft, Inc., 34-10369, no. 11, p. 350; LR-6042, no. 11, p. 376
O'Rourke and Company, J. P., 34-10324, no. 8, p. 258
O'Rourke, John Paul, 34-10324, no. 8, p. 258
Oschers, Bernard, LR-5933, no. 1, p. 27
Osness, Roger William, 34-10263, no. 3, p. 88
Otis Oil and Gas Corporation, LR-5957, no. 3, p. 110
O'Toole, John A., LR-5968, no. 4, p. 154
Owens-Illinois Overseas Capital Corporation, 34-10226, no. 1, p. 7
Oxford Trust Fund, IC-7942, no. 9, p. 301; IC-7987, no. 13, p. 446

Pacific Investment Fund, Ltd., IC-7924, no. 8, p. 273; IC-7975, no. 12, p. 405
Pacific Scholarship Fund, IC-8065, no. 20, p. 702
Pacific Scholarship Trust, IC-8065, no. 20, p. 702
Page, Robert E., 34-10457, no. 19, p. 631
Paine, Webber, Jackson & Curtis, Incorporated, IC-7934, no. 8, p. 279; IC-8042, no. 18, p. 616
Pan American Sulphur Company, IC-7929, no. 8, p. 277
Pan Australian Fund Limited, IC-8028, no. 17, p. 585
Pangerl, Alfred W., LR-5961, no. 3, p. 111
Paragon Securities Co., LR-6005, no. 7, p. 252
Paragon Securities Incorporated, LR-6005, no. 7, p. 252
Paragon Securities Incorporated of California, LR-6005, no. 7, p. 252
Paragon Securities Incorporated of Florida, LR-6005, no. 7, p. 252
Paragon Securities Incorporated of New York, LR-6005, no. 7, p. 252
Parker, Charlie F., LR-5978, no. 5, p. 169
Parrott, Richard W., LR-6022, no. 9, p. 310; LR-6061, no. 14, p. 486

Pascall, Ross W., LR-5979, no. 5, p. 169
Patterson, John R., 34-10448, no. 19, p. 627
Paul, Bruce, LR-6056, no. 13, p. 452
Paulsell, Fred O., Jr., 34-10282, no. 4, p. 141
Pavlov, Pierre, LR-6062, no. 14, p. 486
Pearson, Kermit O., 34-10355, no. 10, p. 317
Peat, Marwick, Mitchell & Co., LR-6114, mo. 20, p. 711
Pedley, David, LR-6121, no. 20, p. 714
Pelorex Corporation, 34-10365, no. 11, p. 346
Peltz, Stanley, LR-6013, no. 8, p. 288
Pennsylvania Electric Company, 35-18017, no. 2, p. 50; 35-18035, no. 5, p. 162
Pennsylvania Gas Company, 35-18062, no. 10, p. 322
Pennsylvania Power Company, 35-18122, no. 17, p. 581; 35-18122-A, no. 18, p. 602; 35-18144, no. 20, p. 675
Peoples Natural Gas Company, The, 35-18040, no. 6, p. 202; 35-18085, no. 12, p. 402
Perrault, James J., LR-6008, no. 7, p. 253
Personal Investors Co., Inc., 34-10346, no. 9, p. 292
Persky, Robert S., LR-5971, no. 4, p. 155; LR-6085, no. 16, p. 543
Peterson, Marie A., 34-10231, no. 1, p. 8
Peterson Co., P. R., 34-10276, no. 4, p. 140
Peterson, Peter R., 34-10276, no. 4, p. 140
Petroforce Corporation, LR-6101, no. 18, p. 619
Petroleum 2000 Corporation, LR-6101, no. 18, p. 619
Phalen, Michael, 34-10448, no. 19, p. 627
Phelan, William T., LR-5940, no. 1, p. 29
Piedmont Capital Corporation, IC-8029, no. 17, p. 586
Pinchuk, Isaac, 34-10238, no. 2, p. 34
Pineles, Michael D., LR-6005, no. 7, p. 252
Pioneer Mill Company, Limited, 35-18044, no. 7, p. 234; 35-18075, no. 11, p. 357
Pioneer Nursing Centers, Inc., 34-10441, no. 18, p. 600
Polansky, Jay, 34-10273, no. 4, p. 139
Pollack, Seymour, LR-5951, no. 2, p. 64; LR-6121, no. 20, p. 714
Pollution Reduction Corporation, LR-6104, no. 18, p. 620
Poole, Rufus, Jr., LR-6056, no. 13, p. 452
Porges, Robert E., LR-6107, no. 19, p. 648
Potomac Edison Company, The, 35-18023, no. 3, p. 102; 35-18041, no. 6, p. 203; 35-18069, no. 11, p. 351; 35-18137, no. 19, p. 644
Potomac Edison Company of Pennsylvania, The, 35-18069, no. 11, p. 351
Potomac Edison Company of Virginia, The, 35-18069, no. 11, p. 351
Potomac Edison Company West Virginia, The, 35-18069, no. 11, p. 351
Pray, Roy J., LR-5946, no. 2, p. 62; LR-5985, no. 6, p. 208
Precious Metals Holdings, Inc., IC-8026, no. 17, p. 582
Pressley, Joseph L., 34-10464, no. 20, p. 655
Pressprich & Co., R. W., LR-5975, no. 5, p. 168
Prestige Finance Corp. Ltd., LR-6060, no. 14, p. 485
Price, Stanley G., LR-6022, no. 9, p. 310; LR-6061, no. 14, p. 486
Priess, William, IC-7921, no. 7, p. 246; IC-7968, no. 11, p. 371; IC-8058, no. 20, p. 694
Prince, Edward J., LR-5946, no. 2, p. 62
Professional Portfolio Fund, Inc., IC-7935, no. 8, p. 283; IC-7982, no. 13, p. 445
Project Securities & Co., Inc., 34-10438, no. 18, p. 598
Proof Lock International, Inc., 34-10273, no. 4, p. 139; LR-6072, no. 15, p. 518
Property Underwriters, Inc., 34-10367, no. 11, p. 349
Provost, Daniel Edward, III. LR-5943, no. 2, p. 61; LR-6124, no. 20, p. 716
Prudential Investment Co., LR-6028, no. 10, p. 339
Public Service Company of Oklahoma, 35-18116, no. 17, p. 575; 35-18151, no. 20, p. 683
Puna Sugar Company, Limited, 35-18044, no. 7, p. 234; 35-18075, no. 11, p. 357
Putnam Associates Fund, Inc., IC-8038, no. 18, p. 614
Putnam Investors Fund, IC-7912, no. 7, p. 241; IC-7913, no. 7, p. 242; IC-7957 and 7958, no. 11, p. 364

Quest, Donald R., 34-10465, no. 20, p. 656

Rabin, Ray K., 34-10358, no. 10, p. 318
Radiation Service Associates, Inc., LR-5990, no. 6, p. 210
Radin, John, 34-10261, no. 3, p. 87
Radler, Stanley, 34-10433, no. 17, p. 570
Rafferty, Edson Howard, LR-6038, no. 10, p. 342

Rainier Fund, Inc., IC-8039, no. 18, p. 615
Rait, Dr. Joseph, 34-10365, no. 11, p. 346
Rand, Bernard E., 34-10354, no. 10, p. 316
Real Estate Investment Trust Fund, Inc., IC-7956, no. 11, p. 363; IC-8004, no. 15, p. 505
Real-Tex Enterprises, 34-10284, no. 4, p. 142; LR-6084, no. 16, p. 543
Redfern, William C., 34-10277, no. 4, p. 140
Redwood Fund, Inc., IC-7960, no. 11, p. 365; IC-8005, no. 15, p. 505
Reese, William M., Jr., LR-5977, no. 5, p. 169
Reese, William M., Sr., LR-5977, no. 5, p. 169
Refractory Service, Inc., IC-7913, no. 7, p. 242
Rega, Joseph, Jr., LR-5979, no. 5, p. 169
Reisch, Leonard, LR-6090, no. 17, p. 590
Reisenfeld, Sylvan, LR-5968, no. 4, p. 154
Reisfeld, Donald, 34-10261, no. 3, p. 87; 34-10359, no. 10, p. 319
Republic National Bank of New York, LR-5979, no. 5, p. 169
Republic National Trust, LR-6008, no. 7, p. 253
Research & Science Investors, LR-6056, no. 13, p. 452
Residex Corporation, IC-7954, no. 10, p. 336; IC-7999, no. 14, p. 480
Revenue Properties Company, Limited, LR-5994, no. 6, p. 212
Reyner, William A., LR-5964, no. 4, p. 153; LR-5996, no. 6, p. 313
Reynolds Securities Inc., IC-7934, no. 8, p. 279; IC-8042, no. 18, p. 616
Rich, Harry, LR-6112, no. 19, p. 650
Ricketson, Carlos J., LR-6022, no. 9, p. 310; LR-6061, no. 14, p. 486
Ridgway, McLeod & Associates, 34-10431, no. 17, p. 569
Rimar Corporation, LR-6022, no. 9, p. 310; LR-6061, no. 14, p. 486
Rimar Scotch Whiskey Trading Co., LR-6022, no. 9, p. 310; LR-6061, no. 14, p. 486
Rinehart, Elary, 34-10355, no. 10, p. 317
River Gas Company, The, 35-18040, no. 6, p. 202; 35-18085, no. 12, p. 402
Rocky River Realty Company, The, 35-18009, no. 1, p. 16; 35-18082, no. 11, p. 362; 35-18102, no. 15, p. 499
Roe, David, 34-10292, no. 6, p. 191
Rogoff, Arthur, 34-10458, no. 19, p. 631
Romeo, Dolf James, LR-6039, no. 11, p. 375
Rosenthal & Co., Inc., L. M., 34-10261, no. 3, p. 87
Rosenthal, Peter, LR-5991, no. 6, p. 210
Roth, Martin, LR-6105, no. 18, p. 620
Rothman, Harold, LR-5951, no. 2, p. 64
Rothschild & Co., L. R., 34-10406, no. 15, p. 495
Royal Business Funds Corporation, IC-8007, no. 15, p. 507; IC-8053, no. 20, p. 689
Royden Fund, Inc., IC-7941, no. 9, p. 300; IC-7985, no. 13, p. 446
Rudnet, Gerald, LR-5955, no. 3, p. 110
Rudnet, Irwin, LR-5955, no. 3, p. 110
Rudowaki, Fred F., 34-10346, no. 9, p. 292
Rutherford, Delbert M., 34-10380, no. 13, p. 424

Sachs, Paul, LR-5951, no. 2, p. 64
Safeco Securities, Inc., 34-10257, no. 3, p. 75
St. John d'el Rey Mining Company, Limited, IC-7885, no. 3, p. 105
Sales Dynamics, Inc., LR-5961, no. 3, p. 111
Salomon & Co., F. L., LR-6056, no. 13, p. 452
Sanchez, Martin L., LR-6104, no. 18, p. 620
Sanford Securities, Inc., 34-10225, no. 1, p. 6
Santis, Robert, LR-6105, no. 18, p. 620
Satellite Systems Corp., LR-6017, no. 9, p. 307
Saunders, Stiver & Co., 34-10341, no. 9, p. 290
Scarlett, Richard L., IC-8055, 34-10468, IA-395, no. 20, p. 691
Scheer, Perry, 34-10411, no. 15, p. 499
Schneider, Bernardine Catherine, 34-10263, no. 3, p. 88
Schneider, Lee, LR-5933, no. 1, p. 27
Schnipper, Jeffrey, 34-10273, no. 4, p. 139
Schreiber Bosse & Co., Inc., LR-5960, no. 3, p. 110
Schreiber, Richard, LR-5960, no. 3, p. 110
Schroeder, Naess & Thomas, LR-6056, no. 13, p. 452
Schuller, Fred G., LR-6114, no. 20, p. 711
Schulman, Herbert, LR-6105, no. 18, p. 620
Schumacher, 34-10318, no. 7, p. 230

Schwarm and Company, 34-10255, no. 3, p. 73
Schwartz, Harold, LR-6104, no. 18, p. 620
Schwartz, Irwin (a/k/a Steven), LR-6078, no. 15, p. 519
Schwartz, Jerome, 34-10261, no. 3, p. 87; 34-10359, no. 10, p. 319
Schwartz, Stanley, LR-6105, no. 18, p. 620
Schweickart & Co., 34-10261, no. 3, p. 87
Science Investments, Inc., IC-8051, no. 20, p. 688
Scientific Control Corporation, 34-10233, no. 1, p. 9
Scotch Whiskey Ltd., LR-5963, no. 3, p. 112
Scots Inn Management Co., 34-10239, no. 2, p. 34
Scott, Ross H., LR-5946, no. 2, p. 62
Scottish Inns of America, Inc., 34-10447, no. 19, p. 626
Security Investing Co., Ltd., LR-6095, no. 17, p. 591
Security Pacific National Bank, IC-7929, no. 8, p. 277
Serpan, Edward J., 34-10355, no. 10, p. 317
Shapiro, Harold, 34-10294, no. 6, p. 192
Shapiro, Nathan, 34-10261, no. 3, p. 87
Shaskan & Co., Inc., 34-10261, no. 3, p. 87; LR-5969, no. 4, p. 154; LR-5972, no. 4, p. 155
Shealey, Arthur K., LR-6066, no. 14, p. 487
Shearson Growth Fund, Inc., IC-7883, no. 2, p. 59
Shearson, Hammill & Co. Incorporated, IC-7884, no. 3, p. 104
Shearson Institutional Fund, Inc., IC-7882, no. 2, p. 59
Sherry, Earl C., LR-5965, no. 4, p. 153; 34-10416, LR-6080, no. 16, p. 531
Shoaf & Co., Inc., Cash, LR-6044, no. 11, p. 377
Shoaf, Lee, LR-6068, no. 14, p. 488
Shultz, Norman H., LR-6109, no. 19, p. 649
Sickmon, Charles G., 34-10448, no. 19, p. 627
Silverman, Adolph D., 34-10327, no. 8, p. 261
Silverman, Jerome E., LR-5971, no. 4, p. 155; LR-6085, no. 16, p. 543
Simon, Benjamin, LR-6065, no. 14, p. 486
Singer and Mackie, Inc., 34-10261, no. 3, p. 87
Sit, Eugene C., LR-6065, no. 14, p. 486
Sitomer, Alvin Lester, LR-6107, no. 19, p. 648
Sitomer, Sitomer and Porges, LR-6107, no. 19, p. 648
Sitomer, Stephen Joel, LR-6107, no. 19, p. 648
Skvaril, Paul F., LR-5961, no. 3, p. 111
Slatko, Robert, LR-6017, no. 9, p. 307
Smith, Alfred W., LR-5970, no. 4, p. 155
Smith, Bertsil L., LR-6032, no. 10, p. 340
Smith Bros. & Co., LR-5940, no. 1, p. 29
Smith, C. Arnholt, LR-5941, no. 1, p. 29; LR-5976, no. 5, p. 168; LR-5995, no. 6, p. 212
Smith, Charles H., LR-5932, no. 1, p. 27; LR-5967, no. 4, p. 154
Smith, Garry W., 34-10423, no. 17, p. 565
Smith, James Cyrus, Jr., LR-6122, no. 20, p. 715
Smith, Loren E., LR-6088, no. 17, p. 590
Smith and Medford, Inc., LR-5932, no. 1, p. 27; LR-5967, no. 4, p. 154
Solomon, Alan, LR-5988, no. 6, p. 209
Soskin, Jerome C., LR-6005, no. 7, p. 252
Source Capital, Inc., IC-8006, no. 15, p. 506
South Branch Industrial Limited Partnership, LR-6112, no. 19, p. 650
South, John, LR-6102, no. 18, p. 619
Southeastern Inns Corporation, 34-10447, no. 19, p. 626
Southern Company, The, 35-18078, no. 11, p. 360; 35-18099, no. 14, p. 474; 35-18127, no. 18, p. 605
Southern Mill Supply Company, Inc., IC-7912, no. 7, p. 241
Southwest Factories, Inc., 34-10441, no. 18, p. 600
Southwestern Electric Power Company, 35-18116, no. 17, p. 575; 35-18151, no. 20, p. 683
Sovereign States Capital Corporation, LR-5941, no. 1, p. 29
Spampinato, Frank, Jr., LR-5968, no. 4, p. 154
Sparks, Donald W., 34-10355, no. 10, p. 317
Speed, Austin B., Jr., LR-5965, no. 4, p. 153; 34-10416, LR-6080, no. 16, p. 531
Spence, Andrew, Sr., LR-5989, no. 6, p. 210; LR-6057, no. 13, p. 453
Spence & Green Chemical Company, LR-5989, no. 6, p. 210; LR-6057, no. 13, p. 453
Sta-Power, Inc., LR-5961, no. 3, p. 111
Star-Glo Industries, Inc., LR-5949, no. 2, p. 63; LR-6086, no. 17, p. 589
Starita, Joseph R., LR-5949, no. 2, p. 63; LR-6086, no. 17, p. 589; LR-6086, no. 17, p. 589

State Mutual Life Assurance Company of America, IC-8062, no. 20, p. 699; IC-8063, no. 20, p. 700
State Mutual Securities, Inc., IC-8062, no. 20, p. 699; IC-8063, no. 20, p. 700
State Street Investment Corporation, IC-7950, no. 10, p. 331
Steel Valley, Inc., LR-6094, no. 17, p. 591
Steinberg, Sidney, LR-5984, no. 6, p. 208
Stepp, Warren W., LR-5946, no. 2, p. 62; LR-5985, no. 6, p. 208
Steven Corp., Robert W., 34-10261, no. 3, p. 87
Stewart, Neva, LR-5946, no. 2, p. 62
Stewart, Stanley J., 34-10433, no. 17, p. 570
Stone, Robert, LR-5968, no. 4 p. 154
Strauss, Michael A., LR-6004, no. 7, p. 252
Strub, William I., LR-5975, no. 5, p. 168; LR-5991, no. 6, p. 210
Struthers Capital Corporation, IC-7938, no. 8, p. 285; IC-8016, no. 15, p. 516
Studley, Shupert & Co., Inc. of Boston, IC-8068, no. 20, p. 708
Stull, O. Glenn, 34-10266, no. 3, p. 96
Sully Corporation, LR-6112, no. 19, p. 650
Sultan, Michael E., LR-6122, no. 20, p. 715
Summit Equities Corp., 34-10366, no. 11, p. 347
Sun Investors, LR-6059, no. 13, p. 454
Sunrise Partners, LR-6056, no. 13, p. 452
Super, Peter R., 34-10426, SIPA-6, no. 17, p. 566
Susquehanna Corporation, IC-7929, no. 8, p. 277
Sutter, Sidney, 34-10346, no. 9, p. 292
Symonds, William Edward, LR-6122, no. 20, p. 715
Syncom, Inc., LR-6017, no. 9, p. 307
Syphers, John, LR-6010, no. 7, p. 254
System Fuels, Inc., 35-18063, no. 10, p. 324; 35-18097, no. 14, p. 472

TDP&L Investment Account "A," Inc., IC-7897, no. 4, p. 152; IC-7928, no. 8, p. 277
TSI Financial, LR-6028, no. 10, p. 339
Tacker, Ronnie K., LR-6032, no. 10, p. 340
Takara Partners, LR-5971, no. 4, p. 155
Takes, Earl W., 34-10448, no. 19, p. 627
Takes, Francis P., 34-10448, no. 19, p. 627
Talley, Franz G., LR-6114, no. 20, p. 711
Talley Industries, Inc., LR-6114, no. 20, p. 711
Tartasky, Laurens, 34-10273, no. 4, p. 139
Taylor, Inc., Alexander, LR-5961, no. 3, p. 111
Taylor, Manning, LR-6004, no. 7, p. 252
Techni-Culture Inc., LR-6010, no. 7, p. 254
Techni-Culture of Arizona, Inc., LR-6010, no. 7, p. 254
Teig, Carrol P., 34-10426, SIPA-6, no. 17, p. 566
Television Productions International Corporation, LR-6048, no. 12, p. 412; LR-6082, no. 16, p. 542
Tennessee Securities, Inc., 34-10447, no. 19, p. 626
Thames, M. Stanton, LR-5961, no. 3, p. 111
Third Empire Fund, Inc., IC-8069, no. 20, p. 709
Thomas Road Baptist Church, LR-6019, no. 9, p. 309
Thompson, W. Lee, LR-5946, no. 2, p. 62; LR-5985, no. 6, p. 208
Thoms, M. Fred, LR-5983, no. 6, p. 208
Thornhill, George Ernest, 34-10305, no. 7, p. 219
Thunderbird Valley, Inc., LR-6014, no. 8, p. 288
Tilney & Co., 34-10374, IA-390, no. 12, p. 399
Tilney, Frederick, 34-10374, IA-390, no. 12, p. 399
Tobias, John M., 34-10292, no. 6, p. 191
Todd, Hardy Buford (a/k/a Boots Todd), LR-5946, no. 2, p. 62; LR-5985, no. 6, p. 208
Toft, Philip A., LR-5941, no. 1, p. 29; LR-5995, no. 6, p. 212
Totten, Ernie W., LR-6075, no. 15, p. 518; LR-6116, no. 20, p. 713
Town Center Limited Partnership, LR-6112, no. 19, p. 650
Transamerican Petroleum Corporation, LR-6041, no. 11, p. 376
Transatlantic Fund Limited, IC-7905, no. 6, p. 205; IC-7952, no. 10, p. 336
Transok Pipe Line Company, 35-18116, no. 17, p. 575; 35-18151, no. 20, p. 683
Trease, Marjorie Lynn, LR-6062, no. 14, p. 486
Trebold, Melvin L., 34-10355, no. 10, p. 317
Trippet, Robert S., LR-6055, no. 13, p. 452; LR-6071, no. 15, p. 517; LR-6077, no. 15, p. 519; LR-6118, no. 20, p. 713
Tri-State Metals Corporation, LR-6043, no. 11, p. 376

Tronics Corporation, 33-5406, no. 4, p. 138
Trustees' Equity Fund, Inc., IC-8017, no. 15, p. 516
Turner Enterprises, Inc., Glenn W., LR-5970, no. 4, p. 155
Turner, Glenn Wesley, LR-5970, no. 4, p. 155
Twenty-First Century Pilot Fund, Inc., IC-7896, no. 4, p. 152

U.N. Industries, Inc., LR-5959, no. 3, p. 110; LR-6002, no. 7, p. 251; LR-6069, no. 14, p. 488
Unique Zipper Distributing Co., 34-10282, no. 4, p. 141
United American Industries, 34-10380, no. 13, p. 424
United Australian Oil, Inc., LR-5946, no. 2, p. 62; LR-5985, no. 6, p. 208; LR-6000, no. 7, p. 251; LR-6074, no. 15, p. 518
United Auto Auction Systems, Inc., 33-5408, no. 5, p. 158
United Natural Gas Company, 35-18062, no. 10, p. 322
U. S. Environmental Systems, Inc., 33-5406, no. 4, p. 138
United States Holding Company, LR-5941, no. 1, p. 29
United States Investment Corporation, LR-6046, no. 12, p. 411; LR-6087, no. 17, p. 589; LR-6117, no. 20, p. 713
U. S. National Bank of San Diego, LR-5941, no. 1, p. 29; LR-5942, no. 1, p. 31; LR-5976, no. 5, p. 168
U. S. Universal, Inc., LR-5961, no. 3, p. 111
Unity Capital Corporation, 34-10369, no. 11, p. 350; LR-6042, no. 11, p. 376
Unity Securities Corporation, 34-10369, no. 11, p. 350; LR-6042, no. 11, p. 376
Universal Major Industries Corp., LR-6041, no. 11, p. 376
University Management Corporation, 34-10314, IA-388, IC-7917, no. 7, p. 288
Utah Power & Light Company, 35-18048, no. 7, p. 238; 35-18074, no. 11, p. 357; 35-18129, no. 18, p. 607

VIP Phone of Delaware, Inc.; LR-6120, no. 20, p. 714
Value Line Special Situations Fund, Inc., The, IC-8044, no. 18, p. 618
Van Aken, George C., LR-5991, no. 6, p. 210; 34-10430, no. 17, p. 569
Vanier, Jerry D., IC-8054, 34-10467, IA-394, no. 20, p. 690
Vanier, John J., IC-8054, 34-10467, IA-394, no. 20, p. 690
Velgersdyk, Harvey L., 34-10448, no. 19, p. 627
Victors Gold & Co., L. G., LR-6010, no. 7, p. 254
Victors, Lawrence G., LR-6010, no. 7, p. 254
View-X-Inc., 33-5434, no. 19, p. 625
Vittorio, George, 34-10404, no. 15, p. 494
Vlotho, Emery, LR-6068, no. 14, p. 488
Vopat, Van J., 34-10355, no. 10, p. 317

W.P.A., Inc., LR-6027, no. 10, p. 339
Wade Fund, Inc., IC-7979, no. 12, p. 407; IC-8066, no. 20, p. 806
Wagenti, Jack R., 34-10242, no. 2, p. 36
Waggoner Management Corporation, LR-6056, no. 13, p. 452
Waggoner Overseas, N.V., LR-6056, no. 13, p. 452
Waggoner, Robert C., LR-6056, no. 13, p. 452
Wainwright, Thomas G., LR-5966, no. 4, p. 154
Waldron, Fred C., LR-6056, no. 13, p. 452
Walker, George R., 34-10277, no. 4, p. 140
Walker, W. H., LR-5946, no. 2, p. 62
Wall, Elizabeth L., 34-10318, no. 7, p. 230; IA-389, no. 10, p. 338
Wall, Martin J., 34-10318, no. 7, p. 230; IA-389, no. 10, p. 338
Wall Street Corporation of America, Inc., 34-10231, no. 1, p. 8
Walston & Co., Inc., 34-10387, no. 13, p. 427
Washington Oil Investors, Inc., LR-6101, no. 18, p. 619
Waterfall, John, LR-6056, no. 13, p. 452
Watson, Peter, LR-5933, no. 1, p. 27
Wdowik, George, LR-6007, no. 7, p. 253
Wealth, Inc., IC-8048, no. 20, p. 687
Weinberg, Harold, LR-5979, no. 5, p. 169
Weiner, Julian S. H., LR-6122, no. 20, p. 715
Weinstein, Philip, LR-6035, no. 10, p. 341
Weis Securities, Inc., LR-5945, no. 2, p. 61; LR-5988, no. 6, p. 209
Weisman, Samuel, LR-6105, no. 18, p. 620
Weiss, Alan M., LR-6084, no. 16, p. 543
Weiss, David, 34-10342, no. 9, p. 291
Weiss, Raymond I., LR-6108, no. 19, p. 649; 34-10466, no. 20, p. 662

Weissman, Adolph, LR-5939, no. 1, p. 29
Weissman, Michael H., LR-6005, no. 7, p. 252
Wellesley Income Fund, Inc., IC-8017, no. 15, p. 516
Wellington Fund, Inc., IC-8017, no. 15, p. 516
Wellington Management Company, IC-8017, no. 15, p. 516
West Ohio Gas Company, 35-18040, no. 6, p. 202; 35-18085, no. 12, p. 402
West Pacific Airlines, Inc., LR-6027, no. 10, p. 339
West Penn Power Company, 35-18023, no. 3, p. 102; 35-18041, no. 6, p. 203; 35-18123, no. 18, p. 602; 35-18125, no. 18, p. 604
West Texas Utilities Company, 35-18030, no. 4, p. 146; 35-18116, no. 17, p. 575; 35-18151, no. 20, p. 683
Western Massachusetts Electric Company, 35-18009, no. 1, p. 16; 35-18022, no. 3, p. 101; 35-18084, no. 12, p. 401; 35-18130, no. 18, p. 609
Western Oil Development Corporation, LR-5947, no. 2, p. 62
Westgate-California Corporation, LR-5995, no. 6, p. 212; LR-6045, no. 12, p. 411
Westminster Bond Fund, Inc., IC-8017, no. 15, p. 516
White & Company, Inc., LR-6070, no. 14, p. 488
White & Co., A. J., LR-6009, no. 7, p. 253
White, Allan J., LR-6009, no. 7, p. 253
White, Edward A., LR-6070, no. 14, p. 488
White, H. K., LR-5961, no. 3, p. 111
White, James W., LR-6010, no. 7, p. 254
Wiersema-Killean Investments, LR-6007, no. 7, p. 253
Wiersema, Vernon, LR-6007, no. 7, p. 253
Wilder, Hobart, LR-5970, no. 4, p. 155
Wilder Transportation, Inc., 34-10458, no. 19, p. 631
Willer, Jack R., 34-10448, no. 19, p. 627
Williams, Wilfred H., 34-10426, SIPA-6, no. 17, p. 566
Wilson, Philip M., LR-6004, no. 7, p. 252
Windsor Fund, Inc., IC-8017, no. 15, p. 516
Windsor Fund Investment Plans, IC-7865, no. 1, p. 16; IC-7902, no. 5, p. 166
Windy's National Franchise System, Inc., 34-10458, no. 19, p. 631
Wisconsin Gas Company, 35-18136, no. 19, p. 643
Wisconsin Life Fund, Inc., IC-7892, no. 4, p. 149; IC-7933, no. 8, p. 279
Wisconsin Life Insurance Company Variable Account A, IC-7939, no. 8, p. 287; IC-7986, no. 13, p. 446
Wood, Struthers & Winthrop, Inc., LR-6056, no. 13, p. 452
Woodpark Associates Fund, IC-7991, no. 13, p. 447; IC-8033, no. 18, p. 611
Wooldridge, David A., LR-6078, no. 15, p. 519
World Wholesale, Incorporated, 33-5433, no. 19, p. 625
World Wide Products (U.S.A.), Inc., LR-5961, no. 3, p. 111
Worman, John C., 34-10284, no. 4, p. 142
Wyatt, Oscar S., Jr., LR-6054, no. 13, p. 451
Wyllie, Thomas Hodges, 34-10305, no. 7, p. 219
Wyllie and Thornhill, Inc., 34-10305, no. 7, p. 219

Yamada, Akiyoshi, LR-5992, no. 6, p. 211
Yeaman, Douglas M., LR-5949, no. 2, p. 63
Yost, Marlow, LR-5949, no. 2, p. 63
Young, Vern M., LR-5958, no. 3, p. 110
Yudin, Calvin, 34-10433, no. 17, p. 570

Zane, Philip, LR-5971, no. 4, p. 155; LR-6085, no. 16, p. 543
Zardus, Stephen, LR-6105, no. 18, p. 620
Zaslow, Stanley, 34-10308, no. 7, p. 221